Holding of specified securities (Statement Showing Shareholding Pattern)

- 1. Name of Listed Entity: SUBEX LIMITED
- 2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending 30-Sep-2016
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	YES	
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

Table I - Summary Statement holding of specified securities

Cate	Category of	Nos. of	No. of	No. of	No. of	Total nos.	Share-	Nu	mber of	Voting Rights		No. of	Shareholding,	Num	nber of	Number of	Shares	Number of
gory	Shareholder	Share-	fully paid up	Partly paid-	shares	shares	holding as	h	eld in ea	ach class of		Shares	as a %	Locked in		pledge	ed or	equity
(I)	(11)	holders	equity	up	underlying	held	a % of total		sec	urities		Underlying	assuming	shares		otherwise		shares held in
, ,	, ,	(III)	shares	equity	Depository	(VII) =	no.		(IX)		Outstanding	full conversion	n (XII)		encum	bered	dematerialized
		` ,	held	shares	Receipts	(IV)+(V)+	of shares					convertible	of convertible	,		(XII	I)	form (XIV)
			(IV)	held	(VI)	(VI)	(calculated			No of Voting	Total as	securities	securities		As a %	No.(a)	As a	
				(V)			as	Class	Class	Total	a % of	(including	(as a	No.(a)	of total		%	
							per SCRR,	eg: X	eg: Y		(A+B+C)	Warrants)	percentage	, ,	Shares		of total	
							1957)	· ·			,	(X)	of diluted share		held (b)		Share	
							(VIII) As a						capital)				s	
							% of						(XI)=(VII)+(X)				held	
							(A+B+C2)						As a % of				(b)	
													(A+B+C2)*					
	PROMOTER &																	
	PROMOTER																	
A.	GROUP	3	974044	0	0	974044	0.192	974044	0	974044	0.192	0	0.1861	0	0	974044	100	974044
B.	PUBLIC	105342	505690685	0	0	505690685	99.760	505690685	0	505690685	99.760	16429681	99.767	0	0	0	0	505638804
	NON PROMOTER-																	
C.	NON PUBLIC	1	0	0	243207	243207	0.048	243207	0	243207	0.048	0	0.0465	0	0	0	0	243207
	SHARES																	
C1.	UNDERLYING DRS	1	0	0	243207	243207	0.048	243207	0	243207	0.048	0	0.0465	0	0	0	0	243207
	SHARES HELD BY																	
	EMPLOYEE																	
C2.	TRUSTS	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0
	Total	105346	506664729	0	243207	506907936	100	506907936	0	506907936	100	16429681	100.000	0	0	974044	100	506856055

^{*}Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

	Table II - Statement	showing shareh	nolding pa	ttern of the	Promoter	and Promo	ter Group												
	Category & Name	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Share-	Nun	ber of V	otina Riah	ts	No. of	Shareholding,	Num	ber of	Number	of Shares	Number of
	of the Shareholders	(II)	Share-	fully paid	paid-up	shares	shares	holding %	Table	ld in eac	oting Righ		Shares	as a %		ced in		ed or	equity
	(I)	(/	holder	up	equity	underlying	held	calculated		secu			Underlying			ares		rwise	shares held in
	(-)		(III)	equity	shares	Depository	(VII =	as		(E			Out-	full conversion		(II)	encumbered (XIII)		dematerialized
			()	shares	held	Receipts	IV + V +	per SCRR,		(.,	• • •		standing	of convertible	()	,	011001110	0.00 (7)	form (XIV)
				held	(V)	(VI)	VI)	1957					convertible	securities					10 (74.1)
				(IV)	(•)	(**,)	1.,	As a % of		Voting F		Total as	securities	(as a		As a %	No.(a)	As a %	
				(,				(A+B+C2)	Class	Class	Total	a % of	(including	percentage	No.(a)	of total		of total	
								(VIII)	Х	Υ		Total	Warrants)	of diluted		Shares		Shares	
								(*****)				Voting	(X)	share		held (b)		held (b)	
												rights	(74)	capital)					
														(XI) = (VII)+(X)					
														as a % of					
														A+B+C2					
														MBTGE					
1.	INDIAN																		
	Individuals/Hindu																		
	undivided																		
a.	Family		2	452844	0	0	452844	0.089	452844	0	452844	0.089	0	0.087	0	0	452844	100.00	452844
	Names :								0		0								
	Subash Menon	AATPM5809J		80601	0		80601	0.016	80601	0		0.016	0		0		80601	100.00	80601
	Sudeesh Yezhuvath	AAAPY9060G		372243	0	0	372243	0.073	372243	0	372243	0.073	0	0.071	0	0	372243	100.00	372243
	Central Government/																		
b.	State Government(s)		0	0	0	0	0	0	0	0	0	0.000	0	0	0	0	0	0	0
	Financial Institutions/																		
C.	Banks		0	0	0	0	0	0	0				0	0	0	0	0	0	0
	Names :								0		0								
d.	ANY OTHER								0		0	0.000							
	Bodies Corporate		1	521200	0	0	521200	0.103	521200					0.100	0	0	521200	100.00	521200
	Names :								0		0	0.000							
	KIVAR HOLDINGS PVT LTD	AACCS7712C		521200	0	0	521200	0.103	521200			0.103	0		0		521200	100.00	521200
	Sub-Total (A)(1)		3	974044	0	0	974044	0.192	974044		974044	0.192	0	0.186	0	0	974044	100.00	974044
<u> </u>									0		0	0.000							
2.	FOREIGN								0		0	0.000							
1	Individuals (Non-							İ											
1	Resident Individuals/																		
a.	Foreign Individuals)		0		0	0	0	0	0				0		0		0		0
b.	Government		0	•			·		0				0				0		
c.	Institutions		0	-		0	J		0			0.000	0				0	·	Ū
d.	Foreign Portfolio Investor		0	0	0	0	0	0	0		•	0.000	0	0	0	0	0	0	0
f.	ANY OTHER		ļ						0		0								
<u> </u>			ļ						0		0	0.000							
<u> </u>	Sub-Total (A)(2)		0	0	0	0	0	0	0			0.000		0	0	0	0	0	0
<u> </u>	Lotal Sharoholding C*								0		0	0.000							
1	Total Shareholding of							İ											
1	Promoter							İ											
1	and Promoter Group (A)=					_													
	(A)(1)+(A)(2)		3	974044	0	0	974044	0.192	974044	0	974044	0.192	0	0.186	0	0	974044	100.00	974044

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table III - Statement showing shareholding pattern of the Public shareholder

	Table III - S	tatement show	ing sharel	nolding pattern	of the Pu	ıblic shareh	older												
	Category & Name	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Share-	Nι	umber (of Voting Rights		No. of	Total Share-	Nun	nber of	Number	of Shares	Number of
	of the Shareholders	(II)	Share-	fully paid up	paid-up	shares	shares held	holding %		held in	each class of		Shares	holding,	Loc	ked in	pledged or		equity
	(1)		holder	equity shares	equity	underlying	(VII =	calculated as		s	ecurities		Underlying	as a % assuming	sh	nares	othe	wise	shares held in
			(III)	held	shares	Depository	IV + V + VI	per SCRR,			(IX)		Out	full conversion	(XII)		ered (XIII)	dematerialized
				(IV)	held	Receipts		1957			g Rights	Total as	standing	of convertible		As a %	No.(a)	As a %	form (XIV)
					(V)	(VI)		As a % of	Class	Class	Total	a % of	convertible	securities	No.(a)	of total	(Not	of total	
								(A+B+C2)	X	Υ		Total	securities	(as a percentage		Shares	applicable)	Shares	
								(VIII)				Voting	(including	of diluted share		held (b)		held	
												rights	Warrants)	capital)				(Not	
													(X)	(XI)				applicable)	
																		(b)	
Ļ																			
1.	INSTITUTIONS							2.22		_		ļ	_		L .		L		_
a.			0	0		0	0	0.00	0	0	0		0	0	0	_	NA NA		0
b.			·	•				0.00	0	•					0	0	NA		0
C.	Alternate Investment Funds Foreign Venture	+	0	0	0	0	0	0.00	U	0	1 0	C	0	0	U	0	NA		0
d.			_	0	_	0	0	0.00	•			٫ ا	_	١ .			NA		_
a.	Foreign Portfolio		- 0	, u	- 0	U		0.00	U	- 0	· · · · ·	ļ ,	- 0	, u	U	<u> </u>	NA		0
١,	Investors					0	0	0.00	0	١,		١,	0	١ ,			NA		
e.	ilivestors		- 0	U	- 0	-		0.00			U				-		INA		
	Financial Institutions/																		
f.	Banks		2	4078655	0	0	4078655	0.80	4078655	0	4078655	0.80	0	0.779	0	0	NA		4078655
g.	Insurance Companies		2	78764	0	0	78764	0.02	78764	0	78764	0.02	0	0.015	0	0	NA		78764
	Provident Funds/																		
h.						0	0	0.00				١,	0	١ .	١,		NA		
n.	ANY OTHER		U	U	U	U		0.00	0	U	0				U	U	NA		U
<u>"</u>	ANTOTHER		+					+	U		U								
	CENTRAL GOVERNMENT/																		
	STATE GOVERNMENT(S)		0	0	0	0	0	0.00	0	0	0	۱ ۵	0	0	0	0	NA		0
	\-\			-										-					
	FOREIGN INSTITUTIONAL														_				
_	INVESTORS			881257	0	0	881257	0.17	881257	U	881257	0.17	0	0.168	0	0	NA		881257
	FOREIGN FINANCIAL																		
	INSTITUTIONS		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	NA		0
									0		0								
	Sub-Total (B)(1)		5	5038676	0	0	5038676	0.99	5038676	0	5038676	0.99	0	0.963	0	0			5038676
									0		0								
1	Central Government/							1											
	State Government(s)/															1			
2.	President of India		0	0	0	0	0	0.00	0	0	0		0	0	0	0	NA		0
<u> </u>									0		0					<u> </u>			
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	- 0	0	0	0	0			0
<u>_</u>	NOV BIOTEVIANO				-				0	1	0						1		
3.	NON-INSTITUTIONS	+	1		1			 	0	-	0					1	1		
a.	INDIVIDUALS -				ļ				0	-	0					!	1		
	1															1			
	I ladicide al abarabaldar-																		
	I. Individual shareholders holding nominal share capital															1			
1			07007	120065000	_		120065000	07.50	100065000		120065000	07.50	_	06.700		۔ ا			120016070
	up to Rs. 2 lakhs.		97097	139865889	0	0	139865889	27.59	139865889		139865889	27.59	0	26.726	0	0	NA		139816078

													1					
l!	II. Individual shareholders																	
	holding nominal share capital in excess of Rs. 2 lakhs.		2005	143281941		0	143281941	28.27	143281941		143281941	28.27	١ ,	27.379	١ ,	١ ،	NA	14328
_	Names :		2005	143201941	U	U	143201941	20.21	143261941	U	143201941	20.21	U	21.319	U	U	INA	14320
	NBFCs registered		+															
	with RBI		o	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	
	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	
	Overseas Depositories																	
	(holding DRs)																	
	(balancing figure)		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	
	ANY OTHER		ļ						0		0							
	BODIES CORPORATE		1584	115614856	0	0	115614856	22.81	115614856	0	115614856	22.81	0	22.092	0	0	NA	11561
_	Names :		+						0		U							
_		AADCA8306P		13370000	0	0	13370000	2.64	13370000	0	13370000	2.64		2.555	0	0	0	1337
_	UNO METALS LTD	AAACU3053E		14352000	0	0	14352000	2.83	14352000	0	14352000	2.83	0	2.742	0	0	0	1435
	ANGEL FINCAP PRIVATE LTD	AAACA7011Q		5134028	0	0	5134028	1.01	5134028	0	5134028	1.01	0	0.981	0	0	0	5134
	TRUSTS		7	388600	0	0	388600	0.08	388600	0	388600	0.08		0.074	0	0	NA	388
	DIRECTOR & THEIR RELATIVES		1	60000	0	0	60000	0.01	60000	0	60000	0.01		0.012	0	0	NA	6
	FOREIGN NATIONALS		26	83852	0	0	83852	0.02	83852	0	83852	0.02		0.016	0	0	NA	8
	NON RESIDENT INDIANS		855	10853168	0	0	10853168	2.14	10853168	0	10853168	2.14		2.074	0	0	NA	1085
	CLEARING MEMBERS		291	5703595	0	0	5703595	1.13	5703595	0	5703595	1.13		1.090	0	0	NA	570
	HINDU UNDIVIDED FAMILIES OTHERS		3426	18892701	0	0	18892701	3.73 0.00	18892701	0	18892701	3.73 0.00		3.610	0	0	NA NA	18892
_			0	0500007	- 0	0	0500005			0	0500005			45.04	0	0		2500
	FOREIGN CORPORATE BODIES		4	65280387	0	0	65280387	12.88	65280387	- 0	65280387	12.88	16429681	15.61	0	- 0	NA	65280
4	Names :		<u> </u>						0		U	0.00						
	QVT MAURITIUS WEST FUND	AAACQ1738J		43020395	0	0	43020395	8.49	43020395	0	43020395	8.49	0	8.220	0	0	0	43020
- I	DEUTSCHE BANK AG LONDON	AAACD1390F		17436426	0	0	17436426	3.44	17436426	0	17436426	3.44	0	3.332	0	0	0	17436
T	ESOPs/ ESOS/ESPS EMPLOYEE			,														·
	SHARE HOLDERS		41	627020	0	0	627020	0.12	627020	0	627020	0.12		0.120	0	0	NA	62
	Sub-Total (B)(3)		105337	500652009	0	0	500652009	98.77	500652009	0	500652009	98.77		95.665	0	0		50060
4			ļ						0		0	0.00						
	Total Public Shareholding		405040			0	=======================================	00.70		_	50500005		١ ،		١.	١.		50500
	(B)= (B)(1)+(B)(2)+(B)(3)	l	105342	505690685	U	U	505690685	99.76	505690685	U	505690685	99.76		99.806	0			505638
etail	ls of the shareholders acting as p	ersons in Cond	ert including th	heir Shareholding	(No. and	%):												
- 1	Name of the PAC	N of Shares	% of PAC			1		1					1		1	1		
	QVT Mauritius West Fund &	47843416	9.44	i		 									1	1		
-	Quintessence Mauritius West Fund																	
	I UIIU	1	1		s numbe									l	1	1	1	

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	ible iv - Statement Showin		g pattern	OI LIIC INOI	i i ioiliotei-	NOIL LUDII	c snarchold	Ci											
	Category & Name	PAN	Nos. of	No. of	Partly paid-	No. of	Total nos.	Share-	Nun	nber of	Voting Right	S	No. of	Total Share-	Nun	nber of	Numbe	r of Shares	Number of
	of the Shareholders	(II)	Share-	fully paid	up	shares	shares held	holding %	he	eld in e	ach class of		Shares	holding,	Loc	ked in	ple	dged or	equity
	(1)		holder	up	equity	underlying	(VII =	calculated as		sec	urities		Underlying	as a %	sh	ares	oth	nerwise	shares held in
			(III)	equity	shares	Depository	IV + V + VI	per SCRR,			(IX)		Out	assuming	(XII)	encum	bered (XIII)	dematerialized
				shares	held	Receipts		1957					standing	full					form (XIV)
				held	(V)	(VI)		As a % of	No of	Voting	Rights	Total	convertible	conversion		As a %	No.(a)	As a %	(Not
				(IV)				(A+B+C2)	Class	Class	Total	as	securities	of convertible	No.(a)	of total	(Not	of total	applicable)
								(VIII)	X	Υ		a % of	(including	securities		Shares	applic	Shares	
												Total	Warrants)	(as a		held (b)	able)	held	
												Voting	(X)	percentage				(Not	
												rights		of diluted				applicable)	
														share				(b)	
1	. Custodian/DR Holder		1	0	0	243207	243207	0.048	243207	0	243207	0.048	0	0.0465	0	0	NA		243207
	Employee Benefit Trust																		
	(under SEBI (Share based																		
	Employee Benefit)																		
2	. Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
	Total Non-Promoter- Non																		
	Public Shareholding																		
	(C)=(C)(1)+(C)(2)																		
	(-) (-) (-)		1	0	0	243207	243207	0.048	243207	0	243207	0.048	0	0.0465	0	0			243207