Holding of specified securities (Statement Showing Shareholding Pattern)

- 1. Name of Listed Entity: SUBEX LIMITED
- 2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending 30-Sep-2017
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?	YES	
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

		I able i	- Summary State	cilicit iloi	uning or speci	inea securities												
Cate		Nos. of	No. of		No. of shares	Total nos.	Share-	Nu	mber of \	oting Rights		No. of	Shareholding,	Number	of	Number of S	Shares	Number of equity
gory	Shareholder	Share-	fully paid up	Partly paid-	underlying	shares held	holding as	ŀ	neld in ea	ch class of		Shares	as a %	Locked	n	pledged	or	shares held in
(I)	(II)	holders	equity shares	up	Depository	(VII) =	a % of total		secu	ırities		Underlying	assuming	shares		otherwis	se	dematerialized
		(III)	held	equity	Receipts	(IV)+(V)+(VI)	no.		(1	X)		Outstanding	full conversion	(XII)		encumbered	d (XIII)	form (XIV)
			(IV)	shares	(VI)		of shares					convertible	of convertible					
				held			(calculated			No of Voting	Total as	securities	securities	No.(a)	As a %	No.(a)	As a	
				(V)			as	Class	Class	Total	a % of	(including	(as a		of total		%	
							per SCRR,	eg: X	eg: Y		(A+B+C)	Warrants)	percentage		Shares		of total	
							1957)					(X)	of diluted share		held (b)		Share	
							(VIII) As a						capital)				s	
							% of						(XI)=(VII)+(X)				held	
							(A+B+C2)						As a % of				(b)	
													(A+B+C2)*					
	PROMOTER &																	
	PROMOTER &																	
	GROUP	2	974044	١ .		974044	0.17	974044	0	974044	0.17		0.17		0	974044	100	974044
B.	PUBLIC	105480			0				0			0	99.79	55094999	١	9/4044	100	560739433
В.	NON PROMOTER-	103460	300763064	U	U	300763064	99.19	300763064	U	300703004	33.13		99.19	33034333	9.02	U	U	300739433
c.	NON PUBLIC	- 1	0	٠,	243207	243207	0.04	243207	0	243207	0.04		0.04	0	0.00	0	١	243207
<u>U.</u>	SHARES			-	243201	243201	0.04	243201	·	243201	0.04		0.04		0.00			243207
C1.	UNDERLYING DRS	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0.00	0	٥	243207
•	SHARES HELD BY				2-10201	240207	0.04	240201	•	2-10201	0.04		0.04	•	0.00		ľ	240207
	EMPLOYEE																	
C2.	TRUSTS	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
	Total	105484	561759728	0	243207	562002935		562002935	0	562002935	100	0	100	55094999		974044	100	561956684

^{*}Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

	Table II - Statement	showing sharel	nolding pa	ttern of the	Promoter	and Promo	er Group						1				1		
	Category & Name	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Share-		ber of \	otina Riah	ts	No. of	Shareholding,	Num	ber of	Number	of Shares	Number of
	of the Shareholders	(II)	Share-	fully paid	paid-up	shares	shares	holding %	Table	ld in ea	oting Right		Shares	as a %		ked in		ed or	equity
	(1)	(/	holder	up	equity	underlying	held	calculated			rities		Underlying	assuming		ares		rwise	shares held in
	(.)		(III)	equity	shares	Depository	(VII =	as			X)		Out-	full conversion		XII)		ered (XIII)	dematerialized
			()	shares	held	Receipts	IV + V +	per SCRR,		(12	,,,		standing	of convertible	()	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	Chicamb	crea (Alli)	form (XIV)
				held	(V)	(VI)	VI)	1957					convertible	securities					101111 (7417)
				(IV)	(*)	(*.)	٠.,	As a % of		Voting F		Total as	securities	(as a		As a %	No.(a)	As a %	
				(,				(A+B+C2)	Class	Class	Total	a % of	(including	percentage	No.(a)	of total		of total	
								(VIII)	Х	Υ		Total	Warrants)	of diluted		Shares		Shares	
								(*,				Voting	(X)	share		held (b)		held (b)	
												rights	(74)	capital)					
														(XI) = (VII)+(X)					
														as a % of					
														A+B+C2					
														7.1.5.102					
		1											l						
1.	INDIAN																		
	Individuals/Hindu																		
	undivided		_		_	_				_			_		_	_			
a.	Family		2	452844	0	0	452844	0.08	452844	0		0.08	0			0	452844	100.00	452844
	Names :					_			0		0		_	0.00					
	Subash Menon	AATPM5809J		80601	0		80601	0.01	80601	0	80601	0.01	0		0			100.00	80601
	Sudeesh Yezhuvath Central Government/	AAAPY9060G		372243	0	0	372243	0.07	372243	0	372243	0.07	0	0.07	0	0	372243	100.00	372243
			0	0	0		0	0.00	0	0	0	0.00	0	0.00	0	0	0		o
b.	State Government(s) Financial Institutions/	-	U	U	U	U	U	0.00	U	U	U	0.00	U	0.00	U	U	U	0	U
c.	Banks		0		0		0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	ا
<u>.</u>	Names :	1	- 0	-				0.00	0	•	0	0.00		0.00			U	U	•
d.	ANY OTHER								0		0	0.00		0.00					
	Bodies Corporate		1	521200	0	0	521200	0.09	521200	0	521200	0.09				0	521200	100.00	521200
	Names :								0		0	0.00		0.00					5_1_00
	KIVAR HOLDINGS PVT LTD	AACCS7712C		521200	0	0	521200	0.09	521200	0	521200	0.09	0	0.09	0	0	521200	100.00	521200
	Sub-Total (A)(1)		3	974044	0		974044		974044	Ö		0.17	Ö				974044	100.00	
			Ī						0		0	0.00		0.00					
2.	FOREIGN								0		0	0.00		0.00					
	Individuals (Non-																		
	Resident Individuals/	1											l						
a.	Foreign Individuals)		0	0	0		0	0.00	0			0.00					0	0	
b.	Government		0	,		•	0		0		_	0.00	0					0	
c.	Institutions		0	·			0		0		_	0.00	0					0	0
d.	Foreign Portfolio Investor		0	0	0	0	0	0.00	0			0.00	0			0	0	0	0
f.	ANY OTHER								0		0	0.00		0.00					
		ļ							0		0	0.00		0.00					
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0		,	0.00	0			0	0	0	0
	Latel Sharahalding C	ļ							0		0	0.00		0.00					
	Total Shareholding of	1											l						
	Promoter	1											l						
	and Promoter Group (A)=	1											l						l l
	(A)(1)+(A)(2)	L	3	974044	0	0	974044	0.17	974044	0	974044	0.17	0	0.17	0	0	974044	100.00	974044

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

	Table III - Staten	nent showing s	hareholdir	ng pattern of	the Pub	lic shareho	der												
	Category & Name	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Share-	Nu	ımber o	f Voting Rights		No. of	Total Share-		ber of	Number o	f Shares	Number of
	of the Shareholders	(II)	Share-	fully paid up	paid-up	shares	shares held	holding %	1		each class of		Shares	holding ,		red in	pledg		equity
	(1)		holder	equity shares		underlying	(VII =	calculated		se	curities		Underlying			ares	other		shares held in
			(III)	held	shares	Depository	IV + V + VI)	as	No.	f \/atin	(IX) a Rights	Total as	Out	full conversion		(II)	encumbe		dematerialized form (XIV)
				(IV)	held (V)	Receipts (VI)		per SCRR,	Class	Class	Total	a % of	standing convertible	of convertible securities	No.(a)	As a % of total	No.(a) (Not	As a % of total	form (XIV)
					(v)	(٧1)		1957	X	Y	Total	Total	securities	(as a percentage		Shares	applicable)	Shares	
								As a % of	^	'		Voting	(including	of diluted share		held (b)	applicable)	held	
								(A+B+C2)				rights	Warrants)	capital)		rioid (b)		(Not	
								(VIII)				- ingi ito	(X)	(XI)				applicable)	
								, ,					. ,	` ,				(b)	
																		(-)	
1.	INSTITUTIONS																		
a.			0	0	-	0	0		0	0	0	0	0	0	0	0	NA		0
_	Venture Capital Funds		0	·	-		0		0		0		0	0	0	0			0
C.			0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
d.	Foreign Venture Capital Investors			0	۰ ا	۰ ا		0.00								0	NA.		
a.	Foreign Portfolio		U		U		U	0.00		, u	U	U	U	U	U	U	NA		U
e.			3	55094999	0	ه ا	55094999	9.80	55094999		55094999	9.80	0	9.80	55094999	100.000	NA		55094999
<u> </u>	Names:		·	0000.000		· ·	0000.000	0.00	0000.000		0000.000	0.00	·	0.00	00001000	100.000			0000.000
										1									
	QVT SINGAPORE FUND PTE.			.===	_ ا	_	.==		.==		.==		_		.===				.==
-	LTD.	AAACQ4301P		27531428	0	0	27531428	4.90	27531428	0	27531428	4.90	0	4.90	27531428	100.000	NA		27531428
	TONBRIDGE (MAURITIUS)																		
	LIMITED	AAECT6664L		17916321	0	0	17916321	3.19	17916321	0	17916321	3.19	0	3.19	17916321	100.000	NA		17916321
	LEEDS (MAURITIUS) LIMITED	AACCL5039R		9647250	0	o	9647250	1.72	9647250	0	9647250	1.72	0	1.72	9647250	100.000	NA		9647250
	Financial Institutions/																		
f.	Banks		3	4903936	0	0	4903936	0.87	4903936	0	4903936	0.87	0	0.87	0	0	NA		4903936
g.			2	78764	0	0	78764	0.01	78764	0	78764	0.01	0	0.01	0	0	NA		78764
١.	Provident Funds/				١.	۱ .		0.00			_								
h.	Pension Funds ANY OTHER		0	0	0	0	U	0.00	- 0	1 0	0	0	0	0.00	0	0	NA		0
<u> </u>	CENTRAL GOVERNMENT/									'	U			0.00					
	STATE GOVERNMENT(S)		0	0	0	0	0	0.00	0		0	0	0	0.00	0	0	NA		0
	FOREIGN INSTITUTIONAL		·	Ť	Ť			0.00		-	·	Ť	·	0.00					Ť
	INVESTORS		2	2806956	0	0	2806956	0.50	2806956	0	2806956	0.50	0	0.50	0	0	NA		2806956
	FOREIGN FINANCIAL																		
	INSTITUTIONS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA		0
_						_			0	1	0		_	0.00					
-	Sub-Total (B)(1)	 	10	62884655	0	0	62884655	11.19	62884655	1 0	62884655	11.19	0	11.19 0.00	0	0			62884655
-	Central Government/	+		1	 					-	0	-		0.00					
	State Government(s)/																		
2.	President of India		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA		l o
						_			0)	0			0.00					
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0			0
									0		0			0.00					
3.	NON-INSTITUTIONS	ļ							0		0			0.00					
a.	INDIVIDUALS -			-					0	1	0			0.00					
	I. Individual shareholders																		
	holding nominal share capital																		
	up to Rs. 2 lakhs.		97401	148894031	0	0	148894031	26.49	148894031	0	148894031	26.49	0	26.49	0	0	NA		148849850
		1	3.701		l ,	<u> </u>		20.70	. 1000 1001	Ť		20.70	<u> </u>	20.43		•			55 .5656
	II. Individual shareholders																		
	holding nominal share capital in excess of Rs. 2 lakhs.		2242	172656861	۰ ا	_	172656861	30.72	172656861	0	172656861	30.72	0	30.72	_	0	NA.		172656861
Ш_	III excess of Rs. 2 lakns.		2242	172656861	0	0	172050861	30.72	1/2050861	0	172050861	30.72	0	30.72	0	0	NA		172050861

L'	Names :								0		0			0.00				
1 '	NBFCs registered																	i
b.	with RBI		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	. 0
C.	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
	Overseas Depositories																	
1 '	(holding DRs)																	i
d.	(balancing figure)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	. 0
e.	ANY OTHER								0		0			0.00				
\Box	BODIES CORPORATE		1300	95818752	0	0	95818752	17.05	95818752	0	95818752	17.05	0	17.05	0	0	NA	95818352
\Box	Names :								0		0			0.00				
	UNO METALS LTD	AAACU3053E		21177000	0	0	21177000	3.77	21177000	0	21177000	3.77	0	3.77	0	0	0	21177000
\Box	ANGEL FINCAP PRIVATE LTD	AAACA7011Q		7747112	0	0	7747112	1.38	7747112	0	7747112	1.38	0	1.38	0	0	0	7747112
\Box	TRUSTS		6	388100	0	0	388100	0.07	388100	0	388100	0.07	0	0.07	0	0	NA	388100
\Box	DIRECTOR & THEIR RELATIVES		1	60000	0	0	60000	0.01	60000	0	60000	0.01	0	0.01	0	0	NA	60000
\Box	FOREIGN NATIONALS		25	81194	0	0	81194	0.01	81194	0	81194	0.01	0	0.01	0	0	NA	81194
\Box	NON RESIDENT INDIANS		840	8290066	0	0	8290066	1.48	8290066	0	8290066	1.48	0	1.48	0	0	NA	8290066
\Box	CLEARING MEMBERS		118	1797214	0	0	1797214	0.32	1797214	0	1797214	0.32	0	0.32	0	0	NA	1797214
\Box	HINDU UNDIVIDED FAMILIES		3493	24517370	0	0	24517370	4.36	24517370	0	24517370	4.36	0	4.36	0	0	NA	24517370
\Box	OTHERS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
\Box	FOREIGN CORPORATE BODIES		4	44777221	0	0	44777221	7.97	44777221	0	44777221	7.97	0	7.97	0	0	NA	44777221
\Box	Names :												-			-		
\vdash				10100511			40400544		10100511		10100511					_		10100511
		AAACQ1738J		40126541	0	0	40126541	7.14	40126541	0	40126541	7.14	0	7.14	0	0	0	40126541
	ESOPs/ ESOS/ESPS																	i
	EMPLOYEE SHARE HOLDERS		40	620220	0	•	620220	0.11	620220	0	620220	0.11	0	0.11	0	0	NA	618550
L'	Sub-Total (B)(3)		105470	497901029	0	0	497901029	88.59	497901029	0	497901029	88.59	0	88.59	0	0		497854778
L'									0		0	0.00		0.00				1
1 '	Total Public Shareholding																	i
L'	(B)=(B)(1)+(B)(2)+(B)(3)		105480	560785684	0	0	560785684	99.79	560785684	0	560785684	99.79	0	99.79	55094999	9.82		560739433
	ils of the shareholders acting as pe			heir Sharehol	ding (No.	and %):												
└	Name of the PAC		% of PAC															
1 '		72159451	12.84															1
	QVT Mauritius West Fund,																	1
i '	Quintessence Mauritius West Fund																	1
ш'	& QVT Singapore Fund Pte. Ltd																	1
1	Tonbridge (Mauritius) Ltd & Leeds																	
L'	(Mauritius) Ltd	27563571	4.90															1
Deta	ils of Shares which remain unclaim	ned may be giver	hear along	with details s	such as nu	ımber of shar	eholders, outs	tanding sh	ares held in o	demat/	unclaimed susp	ense acco	ount, voting	ights which are f	rozen etc.		·	· · · · · · · · · · · · · · · · · · ·

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																				
		Category & Name of the Shareholders	PAN (II)	Nos. of Share-		Partly paid-up equity shares		Total nos. shares	Share-holding %			oting Right	S	No. of Shares	Total Share- holding,	-	ber of ked in		r of Shares dged or	Number of equity
		(I)	(,	holder	equity	held	underlying	held	calculated as		secu	rities		Underlying	as a %	sh	ares	oth	erwise	shares held in
				(III)	shares held	(V)	Depository Receipts	(VII = IV + V +	per SCRR, 1957		(1.	X)		Out standing	assuming full conversion	,	XII)	encum	bered (XIII)	dematerialized form (XIV)
					(IV)		(VI)	VI)	As a % of (A+B+C2)	No of Class	Voting F		Total as a % of	convertible securities	of convertible securities	No.(a)	As a % of total	No.(a) (Not	As a % of total	(Not applicable)
									(VIII)	X	Y	Total	Total	(including	(as a	No.(a)	Shares	applic	Shares	
													Voting rights	Warrants) (X)	percentage of diluted		held (b)	able)	held (Not	
														()	share				applicable)	
t	1.	Custodian/DR Holder		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	capital) 0.04	0	0	NA	(b)	243207
		Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA		0
		Total Non-Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)			0	0	243207	243207	0.04	243207	0	243207	0.04		0.04		0	NA		243207