## Annexure - I

## Holding of specified securities (Statement Showing Shareholding Pattern)

- 1. Name of Listed Entity: SUBEX LIMITED
- 2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending 31-Mar-2018
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?	YES	
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

	tel Category of Nos. of No. of																	
Cate	Category of	Nos. of	No. of	No. of	No. of	Total nos.	Share-	Num	ber of V	oting Rights		No. of	Shareholding,	Numbe	r of	Number o	f Shares	Number of
gory	Shareholder	Share-	fully paid up	Partly	shares	shares held	holding as	he		ch class of		Shares	as a %	Locked	d in	pledge	ed or	equity
(I)	(II)	holders	equity shares	paid-up	underlying	(VII) =	a % of total		secu	rities		Underlying	assuming	share	es	other	wise	shares held in
		(III)	held	equity	Depository	(IV)+(V)+(VI)	no.		(D	<)		Outstanding	full conversion	(XII)	)	encumbe	red (XIII)	dematerialized
			(IV)	shares	Receipts		of shares					convertible	of convertible					form (XIV)
				held	(VI)		(calculated			No of Voting	Total as	securities	securities	No.(a)	As a %	No.(a)	As a %	
				(V)			as	Class	Class	Total	a % of	(including	( as a		of total	, ,	of total	
							per SCRR,	eg: X	eg: Y		(A+B+C)	Warrants)	percentage		Shares		Shares	
							1957)	_	_			(X)	of diluted		held (b)		held (b)	
							(VIII) As a						share					
							% of						capital)					
							(A+B+C2)						(XI)=(VII)+(X)					
													As a % of					
													(A+B+C2)*					
-	PROMOTER &																	
	PROMOTER																	
Α.	GROUP	3	974044	0	0	974044	0.17	974044	0	974044	0.17	0	0.17	0	0	101801	10.4514	974044
В.	PUBLIC	113907	560785684	0	0	560785684	99.78	560785684	0	560785684	99.78	0	99.78	55094999	9.82	0	0	560739363
	NON PROMOTER-																	
C.	NON PUBLIC	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0.00	0	0	243207
	SHARES																	
C1.	UNDERLYING DRS	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0.00	0	0	243207
	SHARES HELD BY																	
1	EMPLOYEE																	
C2.	TRUSTS	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
	Total	113911	561759728	0	243207	562002935	100	562002935	0	562002935	100	0	100.00	55094999	9.80	101801	0.02	561956614
	I Otal	113311	301733720	v	243201	302002333	100	302002333	٥	302002333	100	v	100.00	33034333	3.00	101001	0.02	301330014

<sup>\*</sup>Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

	Table II - Statem	ent showing sha	areholding	pattern of the	Promoter	and Promo	ter Group												
	Category & Name	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Share-	Num	ber of \	Voting Rights	3	No. of	Shareholding,	Num	ber of	Number of	f Shares	Number of
	of the Shareholders	(II)	Share-	fully paid up	paid-up	shares	shares held	holding %	hel		ach class of		Shares	as a %	Lock	ed in	pledge	ed or	equity
	(1)	. ,	holder	equity	equity	underlying	(VII =	calculated	able II	secu	urities		Underlying	assuming	sha	ares	othen		shares held in
	``		(III)	shares	shares	Depository	IV + V + VI)	as		(	IX)		Out-	full conversion		(II)	encumbe		dematerialized
			( )	held	held	Receipts	,	per SCRR,		,	,		standing	of convertible	`	,		,	form (XIV)
				(IV)	(V)	(VI)		1957					convertible	securities					, ,
				` '	( )	,		As a % of	No of \			Total as	securities	( as a		As a %	No.(a)	As a %	
								(A+B+C2)	Class	Class	Total	a % of	(including	percentage	No.(a)	of total		of total	
								(VIII)	Х	Υ		Total	Warrants)	of diluted		Shares		Shares	
								(*)				Voting	(X)	share		held (b)		held (b)	
												rights	(7.)	capital)					
														(XI) = (VII)+(X)					
														as a % of					
														A+B+C2					
														ATDTCZ					
1		1				1								1					
1.	INDIAN																		
	Individuals/Hindu																		
	undivided																		
a.	Family		2	452844	0	0	452844	0.08	452844	C	452844	0.08	0	0.08	0	0	80601	17.80	452844
	Names :								0		0			0.00					
	Subash Menon	AATPM5809J		80601	0	0	80601	0.01	80601	0	80601	0.01	0	0.01	0	0	80601	100.00	80601
	Sudeesh Yezhuvath	AAAPY9060G		372243	0	0	372243	0.07	372243	0	372243	0.07	0	0.07	0	0	0	0.00	372243
	Central Government/																		
b.	State Government(s)		0	0	0	0	0	0.00	0	0	o o	0.00	0	0.00	0	0	0	0	0
	Financial Institutions/																		
c.	Banks		0	0	0	0	0	0.00	0	0	o o	0.00	0	0.00	0	0	0	0	0
	Names :								0		0	0.00		0.00					
d.	ANY OTHER								0		0	0.00		0.00					
	Bodies Corporate		1	521200	0	0	521200	0.09	521200	0	521200	0.09	0	0.09	0	0	21200	4.07	521200
	Names :								0		0	0.00		0.00					
	KIVAR HOLDINGS PVT LTD	AACCS7712C		521200	0	0	521200	0.09	521200	C	021200		0	0.09	0	0	21200	4.07	521200
	Sub-Total (A)(1)		3	974044	0	0	974044	0.17	974044	C	974044			V		0	101801	10.45	974044
									0		0	0.00		0.00					
2.	FOREIGN								0		0	0.00		0.00					
	Individuals (Non-																		
	Resident Individuals/	1				1		1					1	1					
a.	Foreign Individuals)	<u> </u>	0				0	0.00		0				0.00		0	0	0	0
b.	Government		0				0	0.00		0				0.00				0	0
C.	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
d.	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	C	0			0.00	0	0	0	0	0
f.	ANY OTHER								0		0	0.00		0.00					
									0		0	0.00		0.00					
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
									0		0	0.00		0.00					
	Total Shareholding of																		
	Promoter	1				1		1					1	1					
	and Promoter Group (A)=	1				1								1					
	(A)(1)+(A)(2)	1	3	974044	0	0	974044	0.17	974044	0	974044	0.17	0	0.17	0	0	101801	10.45	974044
	V -7V -7 - V -7V=7	L		JJ.			J. 70-11		0544		. 0044	, <del>, , , , , , , , , , , , , , , , , , </del>		J	<u>.                                    </u>		.0.501		J. 1044

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table III - Statement showing shareholding pattern of the Public shareholder

	Table II	I - Statement showing	g sharehold	ding pattern of t	he Public	shareholder	r												
	Category & Name	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Share-	Nu	mber o	f Voting Rights		No. of	Total Share-	Numb	er of	Number of	of Shares	Number of
1	of the Shareholders	(11)	Share-	fully paid up	paid-up	shares	shares held	holding %			each class of		Shares	holding,	Locke			ed or	equity
	(1)	` ′	holder	equity shares	equity	underlying	(VII =	calculated	•		curities		Underlying	as a % assuming	shares		otherwise		shares held in
1	(-)		(III)	held	shares	Depository	IV + V + VI)	as		50	(IX)		Out	full conversion	(XI		encumbered (XIII)		dematerialized
1				(IV)	held	Receipts		per	No of	Voting	Rights	Total as	standing	of convertible	No.(a)	Asa%	No.(a)	As a %	form (XIV)
				(14)	(V)	(VI)		SCRR.	Class	Class	Total	a % of	convertible	securities	140.(4)	of total	(Not	of total	.01111 (7(14)
					(v)	(VI)			Class X	V	Total								
								1957	Α	Y		Total	securities	( as a percentage		Shares	applicable)	Shares	
								As a % of				Voting	(including	of diluted share		held (b)		held	
1								(A+B+C2)				rights	Warrants)	capital)				(Not	
								(VIII)					(X)	(XI)				applicable)	
1												l	1					(b)	
1.	INSTITUTIONS																		
a.	Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
b.	Venture Capital Funds		0	0	0	0	C	0.00	0	0	0	0	0	0	0	0	NA		0
C.	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
	Foreign Venture																		
d.	Capital Investors		0	0	0	0	d	0.00	0	0	0	0	0	0	o	0	NA		o
广	Foreign Portfolio	İ	l i		t -	<del>                                     </del>		1	•	Ť	Ĭ	i i	Ť	Ť	Ů				Ĭ
e.	Investors		3	55094999	n	۱ ،	55094999	9.80	55094999	n	55094999	9.80	n	9.80	55094999	100.000	NA		55094999
۳	Names:			0000 1000		<u> </u>	00004000	5.00	55554555	Ť	55554555	0.00	t	3.00	5555.555	.00.000	140		3333.333
$\vdash$	QVT SINGAPORE FUND PTE.	<b>†</b>						<del>                                     </del>				<del>                                     </del>	<del>                                     </del>						<del>                                     </del>
1	LTD.	AAACQ4301P		27531428	_	ا ا	27531428	4.90	27531428	_	27531428	4.90		4.90	27531428	100.000	NA		27531428
$\vdash$	TONBRIDGE (MAURITIUS)	AAAUK4301F		21331420	- 0	- "	21331420	4.90	21331420	۳	21331420	4.90	1	4.90	21331420	100.000	INA		21331420
1	LIMITED	AAECTECCAL		47046004	_	اہا	47040004	3.19	47040004	_	47040004	3.19		2.40	47046204	400.000	NA		47046004
$\vdash$	LIIVIII ED	AAECT6664L		17916321	U	ı v	17916321	3.19	17916321	- 0	17916321	3.19	1 0	3.19	17916321	100.000	NA.		17916321
1												l	1						
L	LEEDS (MAURITIUS) LIMITED	AACCL5039R		9647250	0	0	9647250	1.72	9647250	0	9647250	1.72	0	1.72	9647250	100.000	NA		9647250
Г	Financial Institutions/																		
f.	Banks		3	2848537	0	0	2848537	0.51	2848537	0	2848537	0.51	0	0.51	0	0	NA		2848537
g.	Insurance Companies		2		0	0	78764		78764	0	78764	0.01		0.01	0	0	NA		78764
	Provident Funds/																		
h.	Pension Funds		0	0	0	l o	d	0.00	0	0	0	0	0	0.00	o	0	NA		0
i.	ANY OTHER	Ì						1	0		0	i i	i	0.00					
	CENTRAL GOVERNMENT/	Ì						1	•		Ì		İ	1					
1	STATE GOVERNMENT(S)		n	n	n	۱ ،	ď	0.00	0	n	n	_ n	n	0.00	n	n	NA		n
$\vdash$	FOREIGN INSTITUTIONAL	İ	l i		t -	<del>                                     </del>		1	•	Ť	Ĭ	i i	Ť	0.00	Ů				Ť
1	INVESTORS		n	n	n	۱ ،	ď	0.00	0	n	n	0.00	n	0.00	n	n	NA		n
$\vdash$	FOREIGN FINANCIAL					<u> </u>		5.00	•	Ť	<u> </u>	0.00	t	0.00	•	•	, iva		
1	INSTITUTIONS		n	n	n	۱ ،		0.00	n	n	n	0.00		0.00	n	n	NA		n
_		<b>†</b>	<b>—</b> "	U	_ ·		,	0.00	0	۳		0.00	<del>                                     </del>	0.00			INA		<b>-</b>
$\vdash$	Sub-Total (B)(1)	<b>+</b>		58022300	_		58022300	10.32	58022300	0	58022300	10.32	_		55094999	9.8033			58022300
$\vdash$	oup-10(8) (B)(1)	<b>+</b>	8	580∠2300	0	U	58022300	10.32	58022300	- 0	58022300	10.32	- 0	0.00	22094999	9.8033			580∠∠300
<b>—</b>	Central Government/	-	<b>—</b>					<del>                                     </del>	U		-	<del>                                     </del>	<del>                                     </del>	0.00					<b> </b>
1	State Government(s)/											l	1						
l,			_	_	_	ا ا			_			_ ا			_	_			ا۔
2.	President of India	ļ	0	0	0	0		0.00	0	0	0		- 0	0.00	0	0	NA		0
<u> </u>	0.1.7.1.(8)(8)								0	Щ.	0		<del> </del> _	0.00					
<u> </u>	Sub-Total (B)(2)		0	0	0	0		0.00	0	0	0	0	0	0.00	0	0			0
<u> </u>		ļ						ļ	0		0		ļ	0.00					
3.	NON-INSTITUTIONS	ļ						ļ	0		0		ļ	0.00					
a.	INDIVIDUALS -								0		0		1	0.00					
1	I la dividual abasab aldas.											l	1						
1	I. Individual shareholders											l	1						
1	holding nominal share capital											1	1						
L	up to Rs. 2 lakhs.		105381	163317081	0	0	163317081	29.06	163317081	0	163317081	29.06	0	29.06	0	0	NA		163272830
1																			
1												l	1						
1	II. Individual shareholders											l	1						
1	holding nominal share capital											l	1						
1	in excess of Rs. 2 lakhs.		2349	176036892	n	۱ ،	176036892	31.32	176036892	n	176036892	31.32	0	31.32	n	0	NA		176036892
								002				002		U1.02		<u>`</u>	140	·	

															-			
$\vdash$	Names :								0		0			0.00				
	NBFCs registered																	
	with RBI		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
	Overseas Depositories																	
	(holding DRs)																	
	(balancing figure)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
	ANY OTHER								0		0			0.00				
	BODIES CORPORATE		1292	118398079	0	0	118398079	21.07	118398079	0	118398079	21.07	0	21.07	0	0	NA	118397679
	Names :								0		0			0.00				
	UNO METALS LTD	AAACU3053E		25602000	0	0	25602000	4.56	25602000	0			0	4.56	0	0	0	25602000
	AKG FINVEST LTD	AADCA8306P		19710000	0	0	19710000	3.51	19710000	0	19710000	3.51	0	3.51	0	0	0	19710000
	ANGEL FINCAP PRIVATE LTD	AAACA7011Q		7520818	0		7520818	1.34	7520818	0	7520818	1.34	0	1.34	0	0	0	7520818
	TRUSTS	7000077011Q	7	388300	0	0	388300		388300	0			0	0.07	0	0	NA NA	388300
	DIRECTOR & THEIR RELATIVES		2	79095	0	0	79095	0.01	79095	0				0.01	0	0	NA NA	79095
	FOREIGN NATIONALS		25	81194	0	0	81194		81194	0				0.01	0	0	NA NA	81194
$\vdash$	TOREIGN NATIONALS		23	01134			01134	0.01	01134	- 0	01134	0.01	U	0.01		۰	IVA	01134
	NON RESIDENT INDIANS		924	10222484	0	0	10222484	1.82	10222484	0	10222484	1.82	0	1.82	0	0	NA	10222484
	CLEARING MEMBERS		138	3795674			3795674	0.68	3795674		3795674	0.68		0.68		0	NA	3795674
$\vdash$	CLEARING WEWBERS		130	3/930/4	U		3/930/4	0.00	3793074	U	3/930/4	0.00	U	0.00	U	U	NA.	3/930/4
	HINDU UNDIVIDED FAMILIES		3740	27648411	0	0	27648411	4.92	27648411	0	27648411	4.92	0	4.92	0	0	NA	27648411
	OTHERS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
	FOREIGN CORPORATE BODIES		ا ا	2235775			2235775	0.40	2235775	0	2235775	0.40	0	0.40	0	0	NA	2235775
$\vdash$	TOREIGN GORL GRATE BODIES		1	ZZOJIIO			2200110	0.40	2200110		2200110	0.40	·	0.40	•	•	14/5	2200770
	Names :																	
	ESOPs/ ESOS/ESPS EMPLOYEE		1															
	SHARE HOLDERS		39	560399			560399	0.10	560399		560399	0.10		0.10		0	NA	558729
	Sub-Total (B)(3)	+	113899	502763384	0	0	502763384	89.46	502763384	- 0	502763384	89.46	0	89.46	0	0	IVA	502717063
$\vdash$	Sub-Total (B)(S)		113099	302703304	U		302763364	09.40	302703304	U	302/03304	0.00	U	0.00	U	U		302717063
$\vdash$	Total Public Shareholding		<b>+</b>						U		U	0.00		0.00				-
			44000	560785684		_	F0070F004		560785684		500705004		_	99.78	55094999	9.82		560739363
$\vdash$	(B)= (B)(1)+(B)(2)+(B)(3)		113907	560785684	U	U	560785684	99.78	560785684	0	560785684	99.78	U	99.78	55094999	9.82		560739363
<u> </u>																		
Detai	ils of the shareholders acting as p	persons in Concert in	cluding their \$	Shareholding (No	o. and %):													
	Name of the PAC	No. of Shares	% of PAC															
	Tonbridge (Mauritius) Ltd & Leeds															j		
	(Mauritius) Ltd	2756357	1 4.90															1
-	(maamaa) Eta	2130331	4.50			<u> </u>					l							

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

iau	ole IV - Statement snowing sna	alenoiding patter	II OI LIIE IV	OH FIOHIOLEI	- NOTT UDIC 3	maremolaci													
	Category & Name	PAN	Nos. of	No. of	Partly paid-up	No. of	Total nos.	Share-holding	Num	ber of \	oting Right	S	No. of	Total Share-	Nun	nber of	Numbe	r of Shares	Number of
	of the Shareholders	(II)	Share-	fully paid up	equity shares	shares	shares	%	he	ld in ead	ch class of		Shares	holding,	Loc	ked in	pled	dged or	equity
	(1)		holder	equity	held	underlying	held	calculated as		secu	rities		Underlying	as a %	shares		otherwise		shares held in
			(III)	shares	(V)	Depository	(VII =	per SCRR,		(I.	X)		Out	assuming	(	XII)	encum	bered (XIII)	dematerialized
				held		Receipts	IV + V +	1957					standing	full conversion					form (XIV)
				(IV)		(VI)	VI)	As a % of	No of	Voting F	Rights	Total as	convertible	of convertible		As a %	No.(a)	As a %	(Not applicable)
								(A+B+C2)	Class	Class		a % of	securities	securities	No.(a)	of total	(Not	of total	
								(VIII)	X	Y		Total	(including	(as a		Shares	applic	Shares	
										-		Voting	Warrants)	percentage		held (b)	able)	held	
												rights	(X)	of diluted		(4)	,	(Not	
														share				applicable)	
														capital)				(b)	
1.	Custodian/DR Holder		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0	NA	` ′	243207
	Employee Benefit Trust																		
	(under SEBI (Share based																		
	Employee Benefit)																		
2.	Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA		0
	Total Non-Promoter- Non																		
	Public Shareholding																		
	(C)=(C)(1)+(C)(2)																		
	(-, (-, (-, (-, (-, (-, (-, (-, (-, (-,		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0	NA		243207