## Holding of specified securities (Statement Showing Shareholding Pattern)

- 1. Name of Listed Entity: SUBEX LIMITED
- 2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending 31-Dec-2017
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?	YES	
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Cate	Category of	Nos. of	No. of	No. of	No. of	Total nos.	Share-	Num	ber of V	oting Rights		No. of	Shareholding,	Numbe	r of	Numbe	er of	Number of
gory	Shareholder	Share-	fully paid up	Partly	shares	shares held	holding as			h class of		Shares	as a %	Locked		Shar		equity
(1)	(II)	holders	equity shares	paid-up	underlying	(VII) =	a % of total		secu	rities		Underlying	assuming	share	3.50	pledge		shares held in
00200		(III)	held	equity	Depository	(IV)+(V)+ (VI)	no.		(I)	()		Outstanding	full conversion	(XII)		otherv		dematerialized
l		0.0000	(IV)	shares	Receipts	The result of the second	of shares		08.0			convertible	of convertible			encumi	bered	form (XIV)
		1	- 50	held	(VI)		(calculated			No of Voting	Total as	securities	securities	No.(a)	As a %	No.(a)	Asa	
				(V)			as	Class	Class	Total	a % of	(including	( as a	essential and the second secon	of total		%	
							per SCRR,	eg: X	eg: Y		(A+B+C)	Warrants)	percentage		Shares		of total	
		1					1957)				1	(X)	of diluted		held (b)		Share	
		7					(VIII) As a					1	share		3370		s	
					19		% of						capital)				held	
							(A+B+C2)						(XI)=(VII)+(X)				(b)	
													As a % of					
													(A+B+C2)*					
	DDOMOTED &																	
	PROMOTER & PROMOTER			8														
	GROUP	_		_														
A. B.	PUBLIC	404020	974044	0	0	974044		974044	. 0	974044	0.17			0	0	101801	10.45	974044
ь.	NON PROMOTER-	104832	560785684	U	0	560785684	99.78	560785684	0	560785684	99.78	0	99.78	55094999	9.82	. 0	0	560739433
C.	NON PUBLIC	4		_	242207	040007		0.0000	_			- 2	27012		2505,925	100		
U.	SHARES	- 1	U	- 0	243207	243207	0.04	243207	- 0	243207	0.04	0	0.04	0	0.00	0	0	243207
C1.	UNDERLYING DRS	- 4		_	243207	243207	0.04	243207		040007				-	- 4	-	9029	(275/10743-107
O 1.	SHARES HELD BY	- 1		- 0	243207	243207	0.04	243207	- 0	243207	0.04	0	0.04	0	0.00	0	0	243207
	EMPLOYEE																	
C2.	TRUSTS	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		ا	
	Total	104836	561759728	0	243207	562002935	100	562002935	0	562002935	100			55094999	9.80	101801	0.02	561956684

<sup>\*</sup>Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

	Table II - Statement	showing share	holding pa	ttern of the	Promoter	and Promot	er Group					T							
	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Share- holder (III)	No. of fully paid up equity	Partly paid-up equity shares	No. of shares underlying Depository	Total nos. shares held (VII =	holding % calculated as	able II <sup>Nun</sup> he	eld in ea secu	Voting Righ ach class of urities IX)	nts	No. of Shares Underlying Out-	Shareholding , as a % assuming full conversion	Loci sh	ber of ked in ares XII)	pledo othe	of Shares ged or erwise ered (XIII)	Number of equity shares held in dematerialize
				shares held	held (V)	Receipts (VI)	IV + V + VI)	per SCRR, 1957			D: 1.	T=-1-1	standing convertible	of convertible securities					form (XIV)
				(IV)				As a % of (A+B+C2) (VIII)	Class X	Voting Class Y	Total	Total as a % of Total Voting rights	securities (including Warrants) (X)	( as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
1.	INDIAN																		
a.	Individuals/Hindu undivided Family		2	452844	0	0	452844	0.08	452844	0	452844	0.08	0	0.08	0	0	80601	17.80	452844
	Names :			102011		-	402044	0.00	0		0			0.00	-	- 4	00001	17.00	45264
	Subash Menon	AATPM5809J		80601	0	0	80601	0.01	80601	0	80601	0.01	0		0	0	80601	100.00	80601
	Sudeesh Yezhuvath	AAAPY9060G		372243	0		372243	0.07	372243				0		0		00001		
	Central Government/					-	0,22,0	0.01	012210	_	012240	0.07		0.07	- 0	- 4	- 0	0.00	31224
b.	State Government(s) Financial Institutions/		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	c
	[[[] [[] [[] [[] [[] [] [] [] [] [] [] [		اه	0	0				_	_			-		12	7.5	825	1950	
C.	Names :		0	U	- 0	0	0	0.00	0		0	0.00	0		0	0	0	0	
4	ANY OTHER								0		0			0.00					
u.	Bodies Corporate		- 4	F24200		_	504000	0.00	0		0			0.00					
_	Names :		- 1	521200	0	0	521200	0.09	521200	0			0		0	0	21200	4.07	521200
	KIVAR HOLDINGS PVT LTD	AACCC27710C	-	521200	0	0	504000	0.09	0	_	0		_	0.00					
_	Sub-Total (A)(1)	AACC37712C	3	974044	0		521200 974044	0.09	521200 974044	0	521200	0.09	0		0		21200	4.07	521200
_	Sub-Total (A)(1)		3	314044		- 0	9/4044	0.17	9/4044		974044		0		0	0	101801	10.45	974044
2.	FOREIGN			-					0		0	0.00		0.00					
	Individuals (Non-									_	U	0.00		0.00					
	Resident Individuals/																		l .
a.			o	0	0	0	0	0.00	0	0	0	0.00	0	0.00		ا ا	,		
b.			0	0	0			0.00	0				0		0		0	0	9
C.			0	0	0		0	0.00	0				0		0		- 0	0	
d.			0	0	0		0	0.00	0		0	0.00	0		0		0	0	
f.			-	-		-	- 0	0.00	0		0	0.00	U	0.00	- 0	- 0	- 0	- 0	
-									0		0	0.00		0.00					
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0		0		0	0.00	0	0	0	0	
	V-7/27		-	-	-	, i	- 0	0.00	0		0		U	0.00	- 0	0	- 0	0	
	Total Shareholding of Promoter and Promoter Group (A)=											0.00		0.00					
	(A)(1)+(A)(2)		3	974044	0	0	974044	0.17	974044	0	974044	0.17	0	0.17	0	0	101801	10.45	974044

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table III

. Table III - Statement showing shareholding pattern of the Public shareholder

a. Mutto b. Venic. Alter Fore d. Capp love love love love love love love love	TITUTIONS tual Funds/ sture Capital Funds rmate Investment Funds eign Venture oital Investors eign Portfolio		0 0	equity shares held (IV)	equity shares held (V)	underlying Depository Receipts (VI)	(VII = IV + V + VI)	calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)			curities (IX) Rights Total	Total as a % of Total Voting rights	Shares Underlying Out standing convertible securities (including Warrants) (X)	holding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)	sha	ked in ares (II) As a % of total Shares held (b)	othe	ped or rwise ered (XIII) As a % of total Shares held (Not applicable)	equity shares held in dematerialized form (XIV)
a. Mutto b. Venic. Alter Fore d. Capp love love love love love love love love	ual Funds/ ture Capital Funds rmate Investment Funds eign Venture oital Investors eign Portfolio		0	held (IV)	held (V)	Receipts (VI)	IV+V+VI)	per SCRR, 1957 As a % of (A+B+C2)	Class	f Voting Class	Rights	a % of Total Voting	standing convertible securities (including Warrants)	of convertible securities ( as a percentage of diluted share capital)		As a % of total Shares	No.(a) (Not	As a % of total Shares held (Not	dematerialized
a. Mutto b. Venic. Alter Fore d. Capp love love love love love love love love	ual Funds/ ture Capital Funds rmate Investment Funds eign Venture oital Investors eign Portfolio		- 0	(IV) 0	(V)	(VI)		SCRR, 1957 As a % of (A+B+C2)	Class	Class		a % of Total Voting	convertible securities (including Warrants)	securities ( as a percentage of diluted share capital)	No.(a)	of total Shares	No.(a) (Not	As a % of total Shares held (Not	
a. Mutto b. Venic. Alter Fore d. Capp love love love love love love love love	ual Funds/ ture Capital Funds rmate Investment Funds eign Venture oital Investors eign Portfolio		- 0			0			i										
a. Mutto b. Venic. Alter Fore d. Capp love love love love love love love love	ual Funds/ ture Capital Funds rmate Investment Funds eign Venture oital Investors eign Portfolio		- 0			0							0.5	18.0				(b)	
b. Venic. Alter C. Alter Fore d. Cap Fore e. Inve Nam QVT LTD. TON LIMI LEEL Fina f. Baning. Insu	ture Capital Funds ernate Investment Funds eign Venture oital Investors eign Portfolio		- 0				0	0.00	0	0	0								
c. Alte Fore d. Cap Fore e. Inve Nam QVT LTD. TON LIMI LEEL Fina f. Baning.	ernate Investment Funds eign Venture bital Investors eign Portfolio		0	0		0	0	0.00	0	0	0	0	0	0	0	0	NA		
d. Cap Fore e. Inve Nam QVT LTD. TON LIMI LEE Fina f. Baning.	eign Venture bital Investors eign Portfolio		- 0		0	0	0		0	-	0		0	0	0	0	NA		
d. Cap Fore Inve Nam QVT LTD TON LIMI LEE Fina g. Insu	oital Investors eign Portfolio				- 0	- 0		0.00	- 0	- 0	0	- 0	0	0	0	0	NA		
Fore Inve Nam QVT LTD TON LIMI LEE Fina f. Banl g. Insu	eign Portfolio	_	0	۸				0.00							14		500		
e. Inve Nam QVT LTD. TON LIMI LEE Fina f. Banl g. Insu			-	- 0	-	- 0		0.00		- 0	- 0	0	0	- 0	0	0	NA		0
Nam QVT LTD TON LIMI LEE Fina f. Bani g. Insu			3	55094999	0	0	55094999	9.80	55094999	0	55094999	9.80		9.80	55094999	400.000			
LTD. TON LIMI LEE Fina f. Bani g. Insu			-	00004000		•	00004000	3.00	55054555	- 0	55054555	3.00	- 0	9.80	55094999	100.000	NA NA		55094999
TON LIMI LEE Fina f. Bani g. Insu	SINGAPORE FUND PTE.																		
LIMI LEE Fina f. Bani g. Insu	).	AAACQ4301P		27531428	0	0	27531428	4.90	27531428	0	27531428	4.90		4.90	27531428	100,000	404		
Fina f. Bani g. Insu	BRIDGE (MAURITIUS)									-			- 0	4.50		100.000	NA		27531428
Fina f. Bani g. Insu	DS (MAURITIUS) LIMITED	AAECT6664L		17916321	0	0	17916321	3.19	17916321	0	17916321	3.19	0	3.19	17916321	100.000	NA		17916321
f. Bani g. Insu	ancial Institutions/	AACCL5039R		9647250	0	0	9647250	1.72	9647250	0	9647250	1.72	0	1.72	9647250	100.000	NA		9647250
g. Insu				3214396					22211223	100	222222	100.00	88	25,23160	100		-		
	rance Companies		2		0	0	3214396	0.57	3214396	0	3214396	0.57	0	0.57	0	0	NA		3214396
Prov	vident Funds/		- 4	70764	U	0	78764	0.01	78764	0	78764	0.01	0	0.01	0	0	NA		78764
	sion Funds		0	0				0.00											
	OTHER		- 0		- 0	- 0	- 0	0.00	0	0	0	0	0	0.00	0	. 0	NA		0
	TRAL GOVERNMENT/								- 0	-	- 0	_		0.00					
STA	TE GOVERNMENT(S)		0	0	0	0	0	0.00	0	0				0.00					
	REIGN INSTITUTIONAL		-	-		-	,	0.00	- 4	-	- 4	- 0	U	0.00	- 0	0	NA		0
	ESTORS		2	2806956	0	o	2806956	0.50	2806956	0	2806956	0.50	0	0.50	0		NA		2806956
	REIGN FINANCIAL							73.5			2000000	0.00	-	0.00	- 4	- 0	IVA		2806956
INST	TITUTIONS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA		
	The second control of								0		0			0.00	-	-	146		
Sub-	-Total (B)(1)		9	61195115	0	0	61195115	10.89	61195115	0	61195115	10.89	0	10.89	0	0			61195115
Cen	tral Government/								0		0			0.00					
	e Government(s)/	1 1																	
	sident of India			0				0.00	<u> </u>										
1163			- 0	0	0	- 0	0	0.00	0	0	0	0	0	0.00	0	0	NA		0
Sub-	The state of the s		0	0	0	0	0	0.00	0	0	0	-		0.00	0	0			

								0		0			0.00				
3.	NON-INSTITUTIONS						1	0		0			0.00		-		
a.	INDIVIDUALS -							0		0			0.00				
	I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		96401	147757507	0	0 147757507	26.29	147757507	0	447757507					20		
	II. Individual shareholders holding nominal share capital			14/10/00/		0 14/73/30/	20.29	14//5/50/	- 0	147757507	26.29	0	26.29	0	0	NA .	14771
	in excess of Rs. 2 lakhs.		2275	178270815	o	0 178270815	31.72	178270815	0	178270815	31.72	ام	31.72	0	-		
	Names :					110270010	012	0	- 0	0	31.12	- 4	0.00	0	0	NA	17827
b.	NBFCs registered with RBI		0	0	0	0 0	0.00	0	0	0	0	0	0.00	0			
C.	Employee Trusts		0	0	0	0 0		0	0	0	0	0	0.00	0	0	NA NA	
d.	Overseas Depositories (holding DRs) (balancing figure)		0		0	0 0	0.00	0		yle:							
e.	ANY OTHER			-	-	- 0	0.00	0	- 0	0	0	0	0.00	0	0	NA	
	BODIES CORPORATE		1322	104097499	0	0 104097499	18.52		0	104097499	40.50	-	0.00				
	Names :		1022	10.007400		104037433	10.52	104097499	U	104097499	18.52	0	18.52	0	0	NA	104097
	UNO METALS LTD	AAACU3053E		12797000	0	0 12797000	2.28	12797000	0	12797000	2.28	-	0.00 2.28				
	AKG FINVEST LTD	AADCA8306P		14400000	0	0 14400000		14400000	0	14400000	2.56	0	2.28	0	0	0	12797
	ANGEL FINCAP PRIVATE LTD	AAACA7011Q		7836882	0	0 7836882		7836882	0	7836882	1.39	0	1.39	0	0	0	14400
	TRUSTS		6	388100	0	0 388100		388100	0	388100	0.07	0	0.07	0	0	0	7836
	DIRECTOR & THEIR RELATIVES		1	60000	0	0 60000		60000	0	60000	0.01	0	0.07	0	0	NA	388
	FOREIGN NATIONALS		25	81194	0	0 81194		81194	0	81194	0.01	0	0.01	0	0	NA NA	60
	NON RESIDENT INDIANS		844	9341772	0	0 9341772		9341772	0	9341772	1.66	0	1.66	0	0	NA NA	81
	CLEARING MEMBERS		381	7565372	0	0 7565372		7565372	0	7565372	1.35	0	1.35	0	0	NA NA	9341
	HINDU UNDIVIDED FAMILIES		3525	27553512	0	0 27553512	4.90	27553512	0	27553512	4.90	0	4.90	0	0	NA NA	7565 27553
	OTHERS		0	0	0	0 0	0.00	0	0	0	0.00	0	0.00	0	0	NA	2/553
	FOREIGN CORPORATE BODIES		3	23803849	0	0 23803849	4.24	23803849	0	23803849	4.24	0	4.24	0	0	NA	
	Names :								-	20000040	7.27	- 1	4.24	- 0	- 0	NA	23803
	QVT MAURITIUS WEST FUND	AAACQ1738J		21258251	0	0 21258251	3.78	21258251	0	21258251	3.78	_	3.78				
	ESOPs/ ESOS/ESPS EMPLOYEE SHARE HOLDERS		40	670949	0	0 670949	0,12	670949	0	670949	0.12		0.12	- 0	0	0	21258
	Sub-Total (B)(3)		104823	499590569	0	0 499590569		499590569	0	499590569	88.89	0	88.89	0	0	NA	669
								0		0	0.00	-	0.00	- 4	- 0		499544
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		104832	560785684	0	0 560785684	99.78	560785684	0	560785684	99.78	0	99.78	55094999	9.82		5607394
eta	ils of the shareholders acting as p	ersons in Conce	rt including	their Sharehold	ing (No. and %):						X-1						1 030733
	Name of the PAC	No. of Shares	% of PAC														
	QVT Mauritius West Fund, Quintessence Mauritius West Fund & QVT Singapore Fund Pte. Ltd	51186624	9.11														
	Tonbridge (Mauritius) Ltd & Leeds (Mauritius) Ltd ils of Shares which remain unclain	27563571	4.90														

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Share- holder (III)	No. of fully paid up equity shares	Partly paid- up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII = IV + V +	Share- holding % calculated as per SCRR, 1957		ld in ea	oting Right ch class of crities X)		No. of Shares Underlying Out standing	Total Share- holding , as a % assuming full	Loc	mber of cked in hares (XII)	ple: oth	r of Shares dged or serwise bered (XIII)	Number of equity shares held in dematerialized form (XIV)
				held (IV)	(V)	(VI)	VI)	As a % of (A+B+C2) (VIII)	No of Class X	Voting Class Y	Rights Total	Total as a % of Total Voting rights	convertible securities (including Warrants) (X)	of convertible securities	No.(a)	As a % of total Shares held (b)	No.(a) (Not applic able)	As a % of total Shares held (Not applicable)	(Not applicable
1.	Custodian/DR Holder		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0	NA	(b)	24320
2.	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00		0.00		0			24320
	Total Non-Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)		1		0	243207	243207	0.04	243207		243207			0.04			NA.		24320