Holding of specified securities (Statement Showing Shareholding Pattern)

- 1. Name of Listed Entity: SUBEX LIMITED
- 2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending 30-June-2018
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?	YES	
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

		ibic i Cui	illial y Statell	TOTAL HOTAL	ing or ope	onica occurre												
Cate	Category of	Nos. of	No. of	No. of	No. of	Total nos.	Share-	Num	ber of V	oting Rights		No. of	Shareholding,	Numbe	r of	Number of	of Shares	Number of
gory	Shareholder	Share-	fully paid up	Partly	shares	shares held	holding as	he	ld in ead	ch class of		Shares	as a %	Locked	l in	pledg	ed or	equity
(I)	(II)	holders	equity shares	paid-up	underlying	(VII) =	a % of total		secu	rities		Underlying	assuming	share	S	othe	wise	shares held in
		(III)	held	equity	Depository	(IV)+(V)+(VI)	no.		(E	X)		Outstanding	full conversion	(XII)		encumbe	ered (XIII)	dematerialized
			(IV)	shares	Receipts		of shares					convertible	of convertible					form (XIV)
				held	(VI)		(calculated			No of Voting	Total as	securities	securities	No.(a)	As a %	No.(a)	As a %	
				(V)			as	Class	Class	Total	a % of	(including	(as a		of total		of total	
							per SCRR,	eg: X	eg: Y		(A+B+C)		percentage		Shares		Shares	
							1957)					(X)	of diluted		held (b)		held (b)	
							(VIII) As a						share					
							% of						capital)					
							(A+B+C2)						(XI)=(VII)+(X)					
													As a % of					
													(A+B+C2)*					
	PROMOTER &																	
	PROMOTER																	
Α.	GROUP	3	974044	0	0	974044	0.17	974044	0	974044	0.17	0	0.17	0	0	101801	10.4514	974044
B.	PUBLIC	113514	560785684	0	0	560785684	99.78	560785684	0	560785684	99.78	0	99.78	55094999	9.82	0	0	560739363
	NON PROMOTER-																	
C.	NON PUBLIC	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0.00	0	0	243207
	SHARES																	
C1.	UNDERLYING DRS	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0.00	0	0	243207
	SHARES HELD BY																	
	EMPLOYEE																	
C2.	TRUSTS	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
	Total	113518	561759728	0	243207	562002935	100	562002935	0	562002935	100	0	100.00	55094999	9.80	101801	0.02	561956614

^{*}Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

	Table II - Statement	showing shareh	olding na	ttern of the	Promoter	and Promo	er Group							I					
	Category & Name	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Share-	Num	her of V	oting Right	ts	No. of	Shareholding,	Num	ber of	Number	of Shares	Number of
1	of the Shareholders	(II)	Share-	fully paid	paid-up	shares	shares	holding %	ho	ld in ea	ch class of		Shares	as a %		ked in		ged or	equity
	(I)	(11)	holder	up	equity	underlying	held	calculated	Table	II	rities		Underlying			ares		rwise	shares held in
	(1)		(III)		shares	Depository	(VII =	as		(I)			Out-	full conversion		XII)		ered (XIII)	dematerialized
			(111)	equity				per SCRR,		(1)	^)				()	NII)	encumb	erea (AIII)	
				shares	held	Receipts	IV + V +						standing	of convertible					form (XIV)
				held	(V)	(VI)	VI)	1957	No of	Voting F	Riahts	Total as	convertible	securities		As a %	No.(a)	As a %	
				(IV)				As a % of	Class	Class	Total	a % of	securities	(as a	No.(a)	of total	- (-)	of total	
								(A+B+C2)	X	Υ		Total	(including	percentage	- (-)	Shares		Shares	
								(VIII)				Voting	Warrants)	of diluted		held (b)		held (b)	
												rights	(X)	share		(4)		(-)	
												ngmo		capital)					
														(XI) = (VII)+(X)					
														as a % of					
														A+B+C2					
1		1											l						
1	INDIAN																		
-	Individuals/Hindu																		
	undivided																		
1 _	Family		2	452844	0	0	452844	0.08	452844	0	452844	0.08	0	0.08	0	0	80601	17.80	452844
a.	Names :			452844	U	U	452844	0.08	452844 0	U	452844 0	0.08	U	0.08		U	80601	17.80	432844
-		AATPM5809J		80601	0	0	80601	0.01	80601	0	·	0.01	_			_	80601	100.00	80601
-	Subash Menon			372243	0		372243		372243		372243	0.01	0						372243
-	Sudeesh Yezhuvath Central Government/	AAAPY9060G		3/2243	U	U	3/2243	0.07	3/2243	U	3/2243	0.07	U	0.07	U	U	U	0.00	3/2243
			0	0	0		0	0.00	0	٥	0	0.00	0	0.00	0	0	0		
b.	State Government(s) Financial Institutions/		U	U	U	U	U	0.00	U	U	U	0.00	U	0.00	U	U	U	0	0
c.	Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	o
L.	Names :		U	U	U	U	U	0.00	0		0	0.00	U	0.00	_	U	U	U	U
4	ANY OTHER								0		0	0.00		0.00					
u.	Bodies Corporate		1	521200	0	0	521200	0.09	521200			0.00				0	21200	4.07	521200
	Names :		<u>'</u>	321200	U		321200	0.09	321200	U	JZ 1200	0.00	U	0.00		U	21200	4.07	321200
	KIVAR HOLDINGS PVT LTD	ΔΔCCS7712C		521200	0	0	521200	0.09	521200	0	521200	0.09	0			0	21200	4.07	521200
-	Sub-Total (A)(1)	70.00077120	2	974044	0		974044	0.09	974044	0		0.09						10.45	974044
\vdash	Jub-10tal (A)(1)	 	3	314044	U	· ·	314044	0.17	9/4044		974044	0.17		0.00			101001	10.43	314044
2.	FOREIGN								0		0	0.00		0.00		 			
ř-	Individuals (Non-	†	 						U		U	0.00		0.00		 			
1	Resident Individuals/	1											l						
a.	Foreign Individuals)	1	0	0	0	۱ ،	0	0.00	0	o	0	0.00	0	0.00	0	0	0	0	0
	Government	†	0				0		0			0.00						0	
C.	Institutions	†	0		0		0		0		0	0.00	0					0	
d.	Foreign Portfolio Investor	†	0	·		•	0	0.00	0		7	0.00	0					0	0
f.	ANY OTHER	 	-			- "		0.00	0		0	0.00	-	0.00				- 0	•
Ë	AIT OTHER	 							0		0	0.00		0.00					
—	Sub-Total (A)(2)	†	0	0	0	0	0	0.00	0			0.00	0			0	0	0	0
-	Jub-10tal (A)(2)	 	- 0	U	U	- 0	U	0.00	0		0	0.00		0.00		- 0	U	U	U
-	Total Shareholding of	 							U		U	0.00		0.00					
1	Promoter	1											l						
1	and Promoter Group (A)=	1											l						
1	,	1	,	974044	0	0	974044	0.17	974044	0	974044	0.17	0	0.17	0	0	101801	10.45	974044
-	(A)(1)+(A)(2)	1	3	9/4044	U	. 0	9/4044	0.17	9/4044	U	9/4044	0.17		0.17		U	101801	10.45	9/4044

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

	Table II	I - Statement show in	g sharehold	ding pattern of the	he Public	shareholder	•												
	Category & Name	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Share-	Nu	mber o	f Voting Rights		No. of	Total Share-	Numb	er of	Number of	f Shares	Number of
	of the Shareholders	(II)	Share-	fully paid up	paid-up	shares	shares held	holding %	ŀ	neld in e	each class of		Shares	holding,	Lock	ed in	pledg	ed or	equity
	(I)		holder	equity shares	equity	underlying	(VII =	calculated		se	curities		Underlying	as a % assuming	sha	res	other	wise	shares held in
			(III)	held	shares	Depository	IV + V + VI	as			(IX)		Out	full conversion	(X	II)	encumbe	red (XIII)	dematerialized
				(IV)	held	Receipts		per	No of	Voting	g Rights	Total as	standing	of convertible	No.(a)	As a %	No.(a)	As a %	form (XIV)
					(V)	(VI)		SCRR,	Class	Class	Total	a % of	convertible	securities		of total	(Not	of total	
								1957	X	Υ		Total	securities	(as a percentage		Shares	applicable)	Shares	
								As a % of				Voting	(including	of diluted share		held (b)		held	
								(A+B+C2)				rights	Warrants)	capital)				(Not	
								(VIII)					(X)	(XI)				applicable)	
																		(b)	
1.	INSTITUTIONS																		
a.	Mutual Funds/		0	0	0	0	0	0.00	0	0	·	0	0	0	0	0	NA		0
b.	Venture Capital Funds		0	0	0	0	0		0	0	·	0	0	0	0	0	NA		0
C.	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
١.	Foreign Venture		_		١.							_	١.			_			
d.	Capital Investors		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
	Foreign Portfolio		_				FF00 1000		FF00.4000	١.	FF00 4000		۱ .			400.000			FF00 4000
e.	Investors		3	55094999	0	0	55094999	9.80	55094999	0	55094999	9.80	0	9.80	55094999	100.000	NA		55094999
_	Names:																		
	QVT SINGAPORE FUND PTE. LTD.	AAACQ4301P		27531428	١.	ا ا	27531428	4.90	27531428		27531428	4.90	۱ .	4.90	27531428	100.000	NA		27531428
	TONBRIDGE (MAURITIUS)	AAACQ4301F	-	2/331420	U	U	2/33/420	4.90	2/331420	U	2/331420	4.90		4.90	2/331420	100.000	INA		2/33/420
	LIMITED	AAECT6664L		17916321	١.	ا ا	17916321	240	17916321		17916321	3.19	۱ .	3.19	17916321	100.000	NA		17916321
_	LIMITED	AAEC16664L	-	1/916321	U	U	17916321	3.19	17916321	U	17916321	3.19	U	3.19	17916321	100.000	NA		17916321
	LEEDS (MAURITIUS) LIMITED	AACCL5039R		9647250	0	0	9647250	1.72	9647250	0	9647250	1.72	0	1.72	9647250	100.000	NA		9647250
	Financial Institutions/																		
f.	Banks		5	2427901	0	0	2427901	0.43	2427901	0	2427901	0.43		0.43	0	0	NA		2427901
g.	Insurance Companies		2	78764	0	0	78764	0.01	78764	0	78764	0.01	0	0.01	0	0	NA		78764
	Provident Funds/																		
h.	Pension Funds		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA		0
i.	ANY OTHER								0		0			0.00					
	CENTRAL GOVERNMENT/		_	_	_	_	_		_	_	_	_	_		_	_			_
_	STATE GOVERNMENT(S)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA		0
	FOREIGN INSTITUTIONAL		_							١.			_ ا			_			
-	INVESTORS FOREIGN FINANCIAL	ļ	- 0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA		0
	INSTITUTIONS		١ .	١ .	١.		0	0.00	•	١ ,	١ .	0.00	١ .	0.00			NA		
	INSTITUTIONS		<u> </u>	0	L 0	0	0	0.00	0	0	0	0.00	"	0.00	0	U	NA.		U
-	Sub-Total (B)(1)		10	57601664	_		57601664	10.25	57601664	0	57601664	10.25		10.25	55094999	9.8033			57601664
-	Sub-10(dl (D)(1)	†	10	3/00/004		U	37001004	10.25	3/00/1004 n	- 0	3/00/1004 n	10.25		0.00	22034399	9.0033			3/00/004
-	Central Government/	†	 		 				U					0.00					
	State Government(s)/				l														
2	President of India		١ ،	۰ ا	ا ا	0	0	0.00	0	ا ا	٥ .	0	۱ ،	0.00	0	0	NA		٥
=	Trockett of male		Ť	· ·		Ť		0.00	- 0	Ť	,		l -	0.00					
	Sub-Total (B)(2)	†	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0			0
			t i	i	t i	Ĭ			0	Ť	0	·	<u> </u>	0.00					- i
3.	NON-INSTITUTIONS								0		0			0.00					
a.	INDIVIDUALS -		1		i				0		0			0.00					
F	İ		1		i				•					,,,,,					
	I. Individual shareholders				l														
	holding nominal share capital				l														
	up to Rs. 2 lakhs.		104954	166212894	0	0	166212894	29.58	166212894	0	166212894	29.58	0	29.58	0	0	NA		166168643

_		T									I							
	ii. Individual shareholders																	
	holding nominal share capitalin																	
	excess of Rs. 2 lakhs.		2436	191146348	0	0	191146348	34.01	191146348	0	191146348	34.01	0	34.01	0	0	NA	191146348
	Names :		2100	101140040			101140040	04.01	0		0	04.01		0.00	-		1473	101140040
	NBFCs registered																	
b.	with RBI		0	0	o	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
C.	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
	Overseas Depositories																	
	(holding DRs)																	
	(balancing figure)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
e.	ANY OTHER								0		0			0.00				
_	BODIES CORPORATE		1239	102060225	0	0	102060225	18.16	102060225	0	102060225	18.16	0	18.16	0	0	NA	102059825
_	Names :	AAACU3053E		14462000			14462000	2.57	14462000		14462000			0.00		0		14462000
_	UNO METALS LTD AKG FINVEST LTD	AADCA8306P		20010000	U	0	20010000	3.56	20010000	U	20010000	2.57 3.56	0	2.57 3.56	0	•	U	20010000
-	AKG FINVEST LTD	AADCA8306P		20010000	U	U	20010000	3.56	20010000	U	20010000	3.56	U	3.56	U	U	U	20010000
_	ANGEL FINCAP PRIVATE LTD	AAACA7011Q		7677701	0	0	7677701	1.37	7677701	0	7677701	1.37	0	1.37	0	0		7677701
_	TRUSTS		8	390800	0	0	390800	0.07	390800	0	390800	0.07	0	0.07	0		NA	390800
-	DIRECTOR & THEIR RELATIVES FOREIGN NATIONALS		2 25	79095 81194	0	0	79095 81194	0.01 0.01	79095 81194	0	79095 81194	0.01 0.01	0	0.01 0.01	0		NA NA	79095 81194
-					U	-				U			U		-	U		
	NON RESIDENT INDIANS		930	11356798	0	0	11356798	2.02	11356798	0	11356798	2.02	0	2.02	0	0	NA	11356798
	CLEARING MEMBERS		129	1027078	0	0	1027078	0.18	1027078	0	1027078	0.18	0	0.18	0	0	NA	1027078
	HINDU UNDIVIDED FAMILIES		3741	29177027	0	0	29177027	5.19	29177027	0	29177027	5.19	0	5.19	0	0	NA	29177027
	OTHERS		0	0	Ö	0	0	0.00	0	0		0.00	0	0.00	0	0	NA	0
	FOREIGN CORPORATE BODIES		2	1371597	0	0	1371597	0.24	1371597	0	1371597	0.24	0	0.24	0	0	NA	1371597
	Names :																	
	ESOPs/ ESOS/ESPS EMPLOYEE																	
	SHARE HOLDERS		38	280964	0	0	280964	0.05	280964	0	280964	0.05	0	0.05	0	0	NA	279294
	Sub-Total (B)(3)		113504	503184020	ő	0	503184020	89.53	503184020	0		89.53	0	89.53	0	0	147	503137699
	(=/(-/								0		0	0.00		0.00	-			
	Total Public Shareholding																	
	(B)=(B)(1)+(B)(2)+(B)(3)		113514	560785684	0	0	560785684	99.78	560785684	0	560785684	99.78	0	99.78	55094999	9.82		560739363
Deta	ails of the shareholders acting as	persons in Concert in	cluding their Sharel	nolding (No. and %):				<u> </u>									
	Name of the PAC	No. of Shares	% of PAC															
	Tonbridge (Mauritius) Ltd & Leeds	07500574	4.00															
	(Mauritius) Ltd	27563571	4.90															

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	able IV - Statement snowing s	marenoluling pa	tern or the	Non From	oler- Non Pul	one snareno	iuei												
Γ	Category & Name	PAN	Nos. of	No. of	Partly paid-up	No. of	Total nos.	Share-holding	Num	nber of \	oting Right	s	No. of	Total Share-	Nun	nber of	Numbe	r of Shares	Number of
	of the Shareholders	(II)	Share-	fully paid up	equity shares	shares	shares	%	he	ld in ead	ch class of		Shares	holding,	Loc	ked in	ple	dged or	equity
	(I)		holder	equity	held	underlying	held	calculated as		secu	ırities		Underlying	as a %	sh	ares	oth	nerwise	shares held in
			(III)	shares	(V)	Depository	(VII =	per SCRR,		(1	X)		Out	assuming	(XII)	encum	bered (XIII)	dematerialized
				held		Receipts	IV + V +	1957					standing	full conversion					form (XIV)
				(IV)		(VI)	VI)	As a % of	No of	Voting F	Rights	Total as	convertible	of convertible		As a %	No.(a)	As a %	(Not applicable)
								(A+B+C2)	Class	Class	Total	a % of	securities	securities	No.(a)	of total	(Not	of total	
								(VIII)	X	Υ		Total	(including	(as a		Shares	applic	Shares	
												Voting	Warrants)	percentage		held (b)	able)	held	
												rights	(X)	of diluted				(Not	
												-		share				applicable)	
L														capital)				(b)	
L	. Custodian/DR Holder		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0	NA		243207
Г	Employee Benefit Trust																		
	(under SEBI (Share based																		
	Employee Benefit)																		
	. Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA		0
Г	Total Non-Promoter- Non																		
	Public Shareholding																		
	(C)=(C)(1)+(C)(2)																		
1						243207	243207	0.04	243207	١ ,	243207	0.04	١ .	0.04	١ ,		NA		243207
_		1	1	ı	ı	243207	243207	0.04	243207	ı	243207	0.04	, ,	0.04	ı U	U	NA.	1	243207