## Annexure - I

## Holding of specified securities (Statement Showing Shareholding Pattern)

- 1. Name of Listed Entity: SUBEX LIMITED
- 2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending 31-Dec-2018
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I. Cummany Statement holding of appointed acquirities

Cate	Category of	Nos. of	No. of	No. of	No. of	Total nos.	Share-	Nun	nber of V	oting Rights		No. of Shares	Shareholding,	Number	of	Number of	Shares	Number of
gory	Shareholder	Share-	fully paid up	Partly paid-	shares	shares held	holding as	he	eld in eac	h class of		Underlying	as a %	Locked	in	pledge	d or	equity
(1)	(II)	holders	equity shares	up	underlying	(VII) =	a % of total		secu	rities		Outstanding	assuming	shares		otherwise		shares held in
		(III)	held	equity	Depository	(IV)+(V)+ (VI)	no.		(D	<)		convertible	full conversion	(XII)		encumbered (XIII)		dematerialized
			(IV)	shares	Receipts		of shares					securities	of convertible					form (XIV)
				held	(VI)		(calculated			No of Voting	Total as	(including	securities	No.(a)	As a %	No.(a)	As a %	
				(V)			as	Class	Class	Total	a % of		(as a		of total		of total	
							per SCRR,	eg: X	eg: Y		(A+B+C)	(X)	percentage		Shares		Shares	
							1957)						of diluted share		held (b)		held	
							(VIII) As a						capital)				(b)	
							% of						(XI)=(VII)+(X)					
							(A+B+C2)						As a % of					
													(A+B+C2)*					
	PROMOTER &																	
	PROMOTER																	
A.	GROUP	3	474044	0	0	474044	0.08	474044	0	474044	0.08	0	0.08	0	0	101801	21.48	474044
B.	PUBLIC	110276	550085684	0	0	550085684	97.88	550085684	0	550085684	97.88	0	97.88	0	0.00	0	0	550043635
	NON PROMOTER-																	
C.	NON PUBLIC	2	11200000	0	243207	11443207	2.04	11443207	0	11443207	2.04	0	2.04	0	0.00	0	0	11443207
	SHARES																	
C1.	UNDERLYING DRS	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0.00	0	0	243207
	SHARES HELD BY																	
	EMPLOYEE																	
C2.	TRUSTS#	1	11200000		0	11200000	1.99	11200000	0		1.99			0	0.00			11200000
	Total	110281	561759728	0	243207	562002935	100	562002935	0	562002935	100	0	100.00	0	0.00	101801	0.02	561960886

<sup>\*</sup>Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders
# In accordance with Clause 5 of Chapter II of the SEBI (Share Based Employee Benefits), Regulations, 2014, the Trustees of the Trust will not vote in respect of shares held by the Trust, so as to avoid any misuse arising out of exercising such voting rights.

	Table II - Statement	showing shareh	nolding pa	ttern of the	Promoter	and Promo	ter Group												
	Category & Name	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Share-	Num	nber of \	oting Righ	nts	No. of	Shareholding,	Num	ber of	Number	of Shares	Number of
	of the Shareholders	(II)	Share-	fully paid	paid-up	shares	shares	holding %	Tab <b>h</b>	el <b>d</b> rin ea	ch class of		Shares	as a %	Loc	ked in	pledo	ged or	equity
	(1)	, ,	holder	up	equity	underlying	held	calculated	14010	secu	rities		Underlying	assuming	sh	ares	othe	rwise	shares held in
	``		(III)	equity	shares	Depository	(VII =	as		(1	X)		Out-	full conversion	C	XII)	encumb	ered (XIII)	dematerialized
			, ,	shares	held	Receipts	IV + V +	per SCRR,		`	,		standing	of convertible	`	,		` '	form (XIV)
				held	(V)	(VI)	VI)	1957					convertible	securities					` ,
				(IV)	. ,	` ′	,	As a % of		Voting F		Total as	securities	( as a		As a %	No.(a)	As a %	
				` '				(A+B+C2)	Class	Class	Total	a % of	(including	percentage	No.(a)	of total		of total	
								(VIII)	Х	Υ		Total	Warrants)	of diluted share		Shares		Shares	
								(,				Voting	(X)	capital)		held (b)		held (b)	
												rights	. ,	(XI) = (VII)+(X)					
														as a % of					
														A+B+C2					
														7115102					
1		ĺ												ĺ					
1.	INDIAN																		
	Individuals/Hindu																		
	undivided		_		_	_				_			_		_	_			
a.	Family		2	452844	0	0	452844	0.08	452844	0	452844	0.08	0	0.00		0	80601	17.80	452844
	Names :					_			0	_	0			0.00	_				
	Subash Menon	AATPM5809J		80601	0			0.01	80601			0.01		0.01	0		00001	100.00	80601
	Sudeesh Yezhuvath	AAAPY9060G		372243	0	0	372243	0.07	372243	0	372243	0.07	0	0.07	0	0	0	0.00	372243
	Central Government/		_	_	_	_	_		_	_	_		_		_		_	_	_
b.	State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
	Financial Institutions/		_	_	_	_	_		_	_	_		_		_	_	_	_	
C.	Banks		0	0	0	0	0	0.00	0		0	0.00		0.00		0	0	0	0
<u> </u>	Names :								0		0	0.00		0.00					
d.	ANY OTHER	-			_	_			0		,	0.00		0.00					
	Bodies Corporate		1	21200	0	0	21200	0.00	21200		21200					0	21200	100.00	21200
	Names : KIVAR HOLDINGS PVT LTD	A A C C C Z Z 4 C C		21200	0	0	21200	0.00	21200	0		0.00	0	0.00		0	21200	100.00	04000
		AACCS//12C	_	474044	0			0.00	474044										21200 <b>474044</b>
	Sub-Total (A)(1)		3	474044	U	U	474044	0.08								U	101801	21.48	474044
2.	FOREICN	-							0		0	0.00		0.00		-			
۷.	FOREIGN	-							0		U	0.00		0.00		-			
	Individuals (Non- Resident Individuals/	ĺ												ĺ					
_	Foreign Individuals)	ĺ	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	_
a. b.	Government	<del> </del>	0						0									_	0
C.	Institutions	<del> </del>	0						0			0.00							0
d.	Foreign Portfolio Investor	<del> </del>	0						0										0
f.	ANY OTHER	<del> </del>	- ·	U	U	_ U	, u	0.00	0		0			0.00		_ ·	_ U	U	U
H-	ANTOTHER	<del> </del>							0		0	0.00		0.00					
-	Sub-Total (A)(2)	<del> </del>	0	0	0	0	n	0.00	0		0					0	0	0	0
	3ub-10tal (A)(2)	1	- 0	U	U	- 0	0	0.00	0		0	0.00		0.00		- 0	- 0	0	- 0
	Total Charabalding of	<del> </del>							U		U	0.00		0.00		1			
	Total Shareholding of	ĺ												ĺ					
	Promoter and Promoter Group (A)=	ĺ												ĺ					
	(A)(1)+(A)(2)	ĺ	3	474044	0	0	474044	0.08	474044	0	474044	0.08	0	0.08	0	0	101801	21.48	474044
-	\^\( \' \' \' \' \\ \' \\ \' \\ \\ \\ \\ \\	L		474044	U		414044	0.00	474044		4/4044	0.00		0.00			101001	21.40	414044

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

	Table II	I - Statement showing	shareholo	ding pattern of t	he Public	shareholder													
	Category & Name	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Share-	Nu	mber o	f Voting Rights		No. of	Total Share-	Numb	per of	Number o	of Shares	Number of
	of the Shareholders	(11)	Share-	fully paid up	paid-up	shares	shares held	holding %			each class of		Shares	holding ,	Lock		pledg		equity
	(1)	(,	holder	equity shares	equity	underlying	(VII =	calculated	•		curities		Underlying	as a % assuming	sha		othe		shares held in
	(1)		(III)	held	shares	Depository	IV + V + VI)	as		30	(IX)		Out	full conversion	(X		encumbe		dematerialized
			(111)	(IV)	held	Receipts	10 + 0 + 01)	per	No of	Voting	Rights	Total as	standing	of convertible	No.(a)	As a %	No.(a)	As a %	form (XIV)
				(1 4 )	(V)	(VI)		SCRR,	Class	Class	Total	a % of	convertible	securities	140.(a)	of total	(Not	of total	ioiiii (XIV)
					( )	(VI)		1957	X	Y	Total	Total	securities	( as a percentage		Shares	applicable)	Shares	
									^			Voting	(including	of diluted share		held (b)	applicable)		
								As a % of (A+B+C2)						capital)		rieid (b)		held	
												rights	Warrants)					(Not	
								(VIII)					(X)	(XI)				applicable)	
																		(b)	
1.	INSTITUTIONS																		
a.	Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA.		0
b.	Venture Capital Funds		0	, c	0	0	Ċ	0.00	0	0	0	0	0	0	ō	, c	NA NA		0
c.	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA NA		0
	Foreign Venture																		
d.	Capital Investors		0	0	0	0	0	0.00	0	0	0	0	0	0	0	C	NA NA		0
	Foreign Portfolio																		
e.	Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	l c	NA NA		0
	Names:																		
	Financial Institutions/																		
f.	Banks		3	833187		0	833187	0.15	833187	0	833187	0.15		0.15	0	l c	NA NA		833187
g.	Insurance Companies		2	78764	0	0	78764	0.01	78764	0	78764	0.01	0	0.01	0	0	NA NA		78764
	Provident Funds/																		
h.	Pension Funds		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	l c	NA NA		0
i.	ANY OTHER								0		0			0.00					
	CENTRAL GOVERNMENT/																		
	STATE GOVERNMENT(S)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	l c	NA NA		0
	FOREIGN INSTITUTIONAL																		
	INVESTORS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	l c	NA NA		0
	FOREIGN FINANCIAL																		
	INSTITUTIONS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA NA		0
									0		0			0.00					
	Sub-Total (B)(1)		5	911951	0	0	911951	0.16	911951	0	911951	0.16	0	0.16	0	0	)		911951
									0		0		1	0.00					
1	Central Government/					1		1									1	l	
	State Government(s)/												1						
2.	President of India		0	0	0	0	0	0.00	0		0		0	0.00	0	C	NA NA		0
									0		0			0.00	-				
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0		•	0	0		0	0	)		0
$oxed{oxed}$									0		0		1	0.00					
3.	NON-INSTITUTIONS								0		0			0.00					
a.	INDIVIDUALS -								0		0		1	0.00					
	Library descriptions and a lidear												1						
	I. Individual shareholders holding nominal share capital												1						
			404000	46420222		_	46420222	20.00	46420222	0	464200000	20.00		20.00	_	_ ا			464462004
1	up to Rs. 2 lakhs.	1	101832	161203628	. 0	. 0	161203628	28.68	161203628	. 0	161203628	28.68	o 0	28.68	0		NA NA		161162001

		1			I				- 1								
II. Individual shareholders																	
holding nominal share capital																	
in excess of Rs. 2 lakhs.		2463	219314372	0	0	219314372	39.02	219314372	0	219314372	39.02	0	39.02	0	0	NA	2193143
Names :								0		0			0.00				
NBFCs registered																	
with RBI		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	
Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	
Overseas Depositories																	
(holding DRs)																	
(balancing figure)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	
ANY OTHER								0		0			0.00				
BODIES CORPORATE		1128	117379910	0	0	117379910	20.89	117379910	0	117379910	20.89	0	20.89	0	0	NA	1173795
Names :								0		0			0.00				
UNO METALS LTD	AAACU3053E		14462000	0	0	14462000	2.57	14462000	0	14462000	2.57	0	2.57	0	0	0	144620
AKG FINVEST LTD	AADCA8306P		18560000	0	0	18560000	3.30	18560000	0	18560000	3.30	0	3.30	0	0	0	185600
VERTEX CUSTOMER																	
MANAGEMENT INDIA PRIVATE																	
LIMITED	AADCV7256M		7473990	0	0	7473990	1.33	7473990	0	7473990	1.33	0	1.33	0	0	0	747399
EDELWEISS BROKING LTD	AABCE9421H		10807000	0	0	10807000	1.92	10807000	0	10807000	1.92	0	1.92	0	0	0	1080700
TRUSTS		10	891300	0	0	891300	0.16	891300	0	891300	0.16	0	0.16	0	0	NA	89130
DIRECTOR & THEIR RELATIVES		2	79095	0	0	79095	0.01	79095	0	79095	0.01	0	0.01	0	0	NA	7909
FOREIGN NATIONALS		25	81194	0	0	81194	0.01	81194	0	81194	0.01	0	0.01	0	0	NA	8119
NON RESIDENT INDIANS		932	11523670	0	0	11523670	2.05	11523670	0	11523670	2.05	0	2.05	0	0	NA	1152367
CLEARING MEMBERS		108	902294	0	0	902294	0.16	902294	0	902294	0.16	0	0.16	0	0	NA	90229
HINDU UNDIVIDED FAMILIES		3683	32458839	0	0	32458839	5.78	32458839	0	32458839	5.78	0	5.78	0	0	NA	3245883
OTHERS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	
FOREIGN CORPORATE BODIES		2	4733038	0	0	4733038	0.84	4733038	0	4733038	0.84	0	0.84	0	0	NA	47330
Names :																	
ESOPs/ ESOS/ESPS/ EMPLOYEE																	
SHARE HOLDERS		86	606393			606393	0.11	606393	0	606393	0.11	0	0.11	0		NA	6063
Sub-Total (B)(3)		110271	549173733	0	0	549173733	97.72	549173733	0	549173733	97.72	0	97.72	0	0	INA	5491316
oub-rotar(b)(o)		110271	343173733	·	•	043170700	31.12	043113133	· ·	043113133	0.00		0.00	•			3431310
Total Public Shareholding		+						U	-	U	0.00		0.00				
(B)= (B)(1)+(B)(2)+(B)(3)		110276	550085684			550085684	97.88	550085684	0	550085684	97.88	0	97.88	0	0.00	NA	55004363
(B)= (B)(1)+(B)(2)+(B)(3)		110270	330003004	U	U	330003004	37.00	330063064	U	330063064	31.00	U	37.00	U	0.00	INA	33004303
ails of the shareholders acting as pe	ersons in Concert inc	luding their Shar	reholding (No. and	%):													
Name of the PAC	No. of Shares	% of PAC		1	1												
Intaine of the FAC	ito. di dilales	/0 UI FAC							1			ı				1	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	able IV - Statement snowing	3 rai en oranig	pattern or	THE NOTE F	Officter- Non	I Fublic Sile	ilelioldei												
	Category & Name	PAN	Nos. of	No. of	Partly paid-	No. of	Total nos.	Share-holding	Numl	oer of \	oting Right	ts	No. of	Total Share-	Num	ber of	Numbe	r of Shares	Number of
	of the Shareholders	(II)	Share-	fully paid up	up	shares	shares	%	hel	d in ea	ch class of		Shares	holding,	Loc	ked in	ple	dged or	equity
	(I)		holder	equity	equity shares	underlying	held	calculated as		secu	rities		Underlying	as a %	sh	ares	oth	nerwise	shares held in
			(III)	shares	held	Depository	(VII =	per SCRR,		(I.	X)		Out	assuming	(.	XII)	encum	bered (XIII)	dematerialized
				held	(V)	Receipts	IV + V +	1957					standing	full conversion					form (XIV)
				(IV)		(VI)	VI)	As a % of	No of	Voting	Rights	Total as	convertible	of convertible		As a %	No.(a)	As a %	(Not applicable)
								(A+B+C2)	Class	Class	Total	a % of	securities	securities	No.(a)	of total	(Not	of total	
								(VIII)	X	Υ		Total	(including	( as a		Shares	applic	Shares	
												Voting	Warrants)	percentage		held (b)	able)	held	
												rights	(X)	of diluted				(Not	
												_		share				applicable)	
														capital)				(b)	
E	Custodian/DR Holder		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0	NA		243207
	Employee Benefit Trust																		
	(under SEBI (Share based																		
	Employee Benefit)																		
	Regulations, 2014)-Subex																		
	Employee Welfare and																		
1 :	2. ESOP Benefit Trust	AAWTS1371G	1	11200000	0	0	11200000	1.99	11200000	0	11200000	1.99	0	0.00	0	0	NA		11200000
	Total Non-Promoter- Non																		
	Public Shareholding																		
	(C)=(C)(1)+(C)(2)			1															
1			2	. 0	0	243207	11443207	2.04	11443207	0	11443207	2.04	0	0.04	0	0	NA		11443207