Holding of specified securities (Statement Showing Shareholding Pattern)

- 1. Name of Listed Entity: SUBEX LIMITED
- 2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending 31-Mar-2019
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

		I able I - C	summary Stater	Hent Holun	ig oi specii	ieu securities												
Cate	Category of	Nos. of	No. of	No. of	No. of	Total nos.	Share-	Nur	nber of V	oting Rights		No. of	Shareholding,	Number	of	Number of	Shares	Number of
gory	Shareholder	Share-	fully paid up	Partly paid-	shares	shares held	holding as	he	eld in eac	h class of		Shares	as a %	Locked	in	pledged	d or	equity
(1)	(II)	holders	equity shares	up	underlying	(VII) =	a % of total		secu	rities		Underlying	assuming	share	3	otherw	ise	shares held in
		(III)	held	equity	Depository	(IV)+(V)+(VI)	no.		(I)	()		Outstanding	full conversion	(XII)		encumbere	ed (XIII)	dematerialized
			(IV)	shares	Receipts		of shares					convertible	of convertible					form (XIV)
				held	(VI)		(calculated			No of Voting	Total as	securities	securities	No.(a)	As a %	No.(a)	As a %	
				(V)			as	Class	Class	Total	a % of	(including	(as a		of total		of total	
							per SCRR,	eg: X	eg: Y		(A+B+C)	Warrants)	percentage		Shares		Share	
							1957)					(X)	of diluted share		held (b)		s	
							(VIII) As a						capital)				held	
							% of						(XI)=(VII)+(X)				(b)	
							(A+B+C2)						As a % of					
													(A+B+C2)*					
	PROMOTER &																	
	PROMOTER																	
Δ.	GROUP	2	474044	0	0	474044	0.08	474044	0	474044	0.08	0	0.08	0	0	0	0	474044
В.	PUBLIC	107906			0		97.88		0	550085684	97.88		97.88	0		0	0	550044035
	NON PROMOTER-																	
C.	NON PUBLIC	2	11200000	0	243207	11443207	2.04	11443207	0	11443207	2.04	0	2.04	0	0.00	0	0	11443207
	SHARES																	
C1.	UNDERLYING DRS	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0.00	0	0	243207
	SHARES HELD BY																	
	EMPLOYEE																	
C2.	TRUSTS#	1	11200000	0	0	11200000	1.99		0	11200000	1.99		1.99			0	0	11200000
	Total	107910	561759728	0	243207	562002935	100	562002935	0	562002935	100	0	100.00	0	0.00	0	0.00	561961286

^{*}Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

[#] In accordance with Clause 5 of Chapter II of the SEBI (Share Based Employee Benefits), Regulations, 2014, the Trustees of the Trust will not vote in respect of shares held by the Trust, so as to avoid any misuse arising out of exercising such voting rights.

The shares are held in the Demat account of the Trustees of the Trust

	Table II - Statement	showing shareh	olding pa	ttern of the	Promotei	and Promo	ter Group												
	Category & Name	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Share-	Num	nber of \	oting Right	ts	No. of	Shareholding,	Num	ber of	Number	of Shares	Number of
	of the Shareholders	(II)	Share-	fully paid	paid-up	shares	shares	holding %			ch class of	.0	Shares	as a %		ked in		ged or	equity
	(I)	()	holder	up	equity	underlying	held	calculated	Table		rities		Underlying	assuming		ares		rwise	shares held in
	(1)		(III)	equity	shares	Depository	(VII =	as			X)		Out-	full conversion		XII)		ered (XIII)	dematerialized
			(111)	shares	held	Receipts	(VII = 	per SCRR,		(1	^)		standing	of convertible	(,	ΛII)	encumb	erea (XIII)	form (XIV)
				held	(V)	(VI)	VI)	1957					convertible	securities					IOIIII (XIV)
				(IV)	(v)	(V I)	VI)	As a % of	No of	Voting F	Rights	Total as	securities	(as a		As a %	No.(a)	As a %	
				(1V)				(A+B+C2)	Class	Class	Total	a % of		,	No.(a)	of total	, ,	of total	
								` ,	X	Υ		Total	(including	percentage	` '	Shares		Shares	
								(VIII)				Voting		of diluted share		held (b)		held (b)	
												rights	(X)	capital)		(.,		()	
												gc		(XI) = (VII)+(X)					
														as a % of					
														A+B+C2					
						1													
_	INDIAN																		
1.	INDIAN Individuals/Hindu	-				-										1			
	undivided		_	474044			474044		474044		47.40.44		_ ا					_	474044
a.	Family		2	474044	0	0	474044	0.08		0	717077	0.08	0			0	0	U	474044
	Names :				_				0		0		_	0.00			_	_	
	Subash Menon	AATPM5809J		101801	0			0.02		0		0.02							
	Sudeesh Yezhuvath	AAAPY9060G		372243	0	0	372243	0.07	372243	0	372243	0.07	0	0.07	0	0	0	0	372243
	Central Government/																		
b.	State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
	Financial Institutions/																		
C.	Banks		0	0	0	0	0	0.00			0	0.00				0	0	0	0
	Names :								0		0	0.00		0.00					
d.	ANY OTHER								0		0	0.00		0.00					
	Bodies Corporate		0	0	0	0	0	0.00			ŭ	0.00				0	0	0	0
	Names :								0		0	0.00		0.00					
	Sub-Total (A)(1)	1	2	474044	0	0	474044	0.08		0		0.08	0			0	0	0.00	474044
									0		0	0.00		0.00		ļ			
2.	FOREIGN	1				L			0		0	0.00		0.00		<u> </u>			
	Individuals (Non-					1													
	Resident Individuals/					1													
a.	Foreign Individuals)		0	0	0						,	0.00							0
b.	Government		0									0.00						0	
C.	Institutions		0								_	0.00					,		
d.	Foreign Portfolio Investor		0	0	0	0	0	0.00			·	0.00	0			0	0	0	0
f.	ANY OTHER								0		0	0.00		0.00					
									0		0	0.00		0.00					
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
									0		0	0.00		0.00					
	Total Shareholding of																		
	Promoter					1													
	and Promoter Group (A)=					1													
	(A)(1)+(A)(2)		2	474044	0	0	474044	0.08	474044	0	474044	0.08	0	0.08	0	0	0	0.00	474044
—	N // Y // // //				<u>`</u>					· •		2.50	<u>`</u>	, ,,,,,,		·			

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

	Table III	I - Statement showin	a sharehold	ding pattern of t	he Public	shareholder													
	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Share- holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Share-holding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)		held in e	of	Total as a % of Total Voting rights	No. of Shares Underlying Out standing convertible securities (including Warrants) (X)	Total Share- holding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Numb Lock sha (X No.(a)	ed in res	Number of pledg other encumbe No.(a) (Not applicable)	ed or wise	Number of equity shares held in dematerialized form (XIV)
1.	INSTITUTIONS																		
a.	Mutual Funds/		0	(0	0		0.00		0 0	0	0	0	0	0	0	NA		0
b.	Venture Capital Funds		0	(0	0		0.00		0 0	0	0	0	0	0	0	NA		0
	Alternate Investment Funds		0		0	0		0.00		0 0	0	0	0	0	0	0	NA		0
	Foreign Venture																		
d.	Capital Investors		0		ol o	ol o		0.00		0 0	0	0	0	0	0	0	NA		0
	Foreign Portfolio																		
e.	Investors		0	() 0	0		0.00		0 0	0	0.00	0	0.00	0	0	NA		0
	Names:		_												-				-
	Financial Institutions/																		
f	Banks		3	1462082		ا ا	146208	2 0.26	146208	2 0	1462082	0.26	0	0.26	0	0	NA		1462082
a.	Insurance Companies		2			Ö	7876		7876		78764	0.01	0	0.01	0	0	NA NA		78764
· 9.	Provident Funds/		_		<u> </u>	1			10.0		70.04	0.01		0.01		•			
h	Pension Funds		0			ا ا		0.00		0	0	0	0	0.00	0	0	NA		0
i.	ANY OTHER			,	,	· ·		0.00		1	0	-		0.00	•	•	- INA		•
	CENTRAL GOVERNMENT/								·	1	•			0.00					
	STATE GOVERNMENT(S)		0		، ا	ا ا		0.00			0	0	0	0.00			NA		0
	FOREIGN INSTITUTIONAL			`	·	1		0.00						0.00		•			•
	INVESTORS		0		، ا	ا ا		0.00			0	0.00	0	0.00			NA		0
	FOREIGN FINANCIAL			,	,	· ·		0.00	·			0.00		0.00	•	•	- INA		•
	INSTITUTIONS		0			ا ا		0.00		0	0	0.00	0	0.00	0	0	NA		0
					<u> </u>	1		0.00		1	0	0.00		0.00		•			•
	Sub-Total (B)(1)		5	1540846			154084	6 0.27	154084	5 0	1540846	0.27	0	0.27	0	0			1540846
	Cub 10th (B)(1)			1010010		1	101001	0.27		1	0.0000	U.Z.		0.00	•	·			10-100-10
	Central Government/													0.00					
	State Government(s)/																		
2	President of India		0			ا ا		0.00		0	0	0	0	0.00	0	0	NA		0
- 1	T T GOLD THE GET HILD TO			`	·	1		0.00		1	o o			0.00	•	·	10.1		•
	Sub-Total (B)(2)		0					0.00) 0	o o	0	0	0.00	0	0			0
	1-1/-/		1			1			i)	0			0.00		ľ			
3.	NON-INSTITUTIONS)	0			0.00					
a.	INDIVIDUALS -								i)	ō			0.00					
	I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		99680	156789414			15678941	4 27.90	15678941	4 0	156789414	27.90	0	27.90	0	0	NA		156748187

II. Individual shareholders holding nominal share capital																	
in excess of Rs. 2 lakhs.		2447	229999785	0	0	229999785	40.93	229999785	0	229999785	40.93	0	40.93	0	0	NA	22999978
Names :													0.00				
SHIVANI TARUN HARIBHAKTI	AGSPD0531H		11585337	0	0	11585337	2.06	11585337	0	11585337	2.06	0	0.00	0	0	NA	1158533
NBFCs registered																	
. with RBI		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	
. Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	
Overseas Depositories (holding DRs)																	
. (balancing figure)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	
. ANY OTHER								0		0			0.00				
BODIES CORPORATE		1067	115135975	0	0	115135975	20.49	115135975	0	115135975	20.49	0	20.49	0	0	NA	11513557
Names :								0		0			0.00				
UNO METALS LTD	AAACU3053E		19412000	0	0	19412000	3.45	19412000	0	19412000	3.45	0	3.45	0	0	0	1941200
AKG FINVEST LTD	AADCA8306P		18560000	0	0	18560000	3.30	18560000	0	18560000	3.30	0	3.30	0	0	0	1856000
STOCK HOLDING CORPORATION OF INDIA LTD - A/C NSE																	
DERIVATIVES	AABCS1429B		6670042	0	0	6670042	1.19	6670042	0	6670042	1.19	0	1.19	0	0	0	667004
TRUSTS		9	391300	0	0	391300	0.07	391300	0	391300	0.07	0	0.07	0	0	NA	39130
DIRECTOR & THEIR RELATIVES		2	79095	0	0	79095	0.01	79095	0	79095	0.01	0	0.01	0	0	NA	7909
FOREIGN NATIONALS		25	81194	0	0	81194	0.01	81194	0	81194	0.01	0	0.01	0	0	NA	8119
NON RESIDENT INDIANS		915	12101827	0	0	12101827	2.15	12101827	0	12101827	2.15	0	2.15	0	0	NA	1210182
CLEARING MEMBERS		85	1206890	0	0	1206890	0.21	1206890	0	1206890	0.21	0	0.21	0	0	NA	120689
HINDU UNDIVIDED FAMILIES		3589	27956782	0	0	27956782	4.97	27956782	0	27956782	4.97	0	4.97	0	0	NA	27956782
OTHERS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	
FOREIGN CORPORATE BODIES		2	3948118	0	0	3948118	0.70	3948118	0	3948118	0.70	0	0.70	0	0	NA	3948118
Names :																	
ESOPs/ ESOS/ESPS/ EMPLOYEE SHARE HOLDERS		80	854458	0	0	854458	0.15	854458	0	854458	0.15	0	0.15	0	0	NA	85443
Sub-Total (B)(3)		107901	548544838	0	0	548544838	97.61	548544838	0	548544838	97.61	0	97.61	0	0		548503189
								0		0	0.00	-	0.00				
Total Public Shareholding								-		•							
(B)= (B)(1)+(B)(2)+(B)(3)		107906	550085684	0	0	550085684	97.88	550085684	0	550085684	97.88	0	97.88	0	0.00	NA	55004403
tails of the shareholders acting as pe	ersons in Concert inc	cluding their Sha	reholding (No. and	d %):									•				
Name of the PAC	No. of Shares	% of PAC					1										
N.A.	U. U. al 63	,,, ,, ,, AO															

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

÷	able iv - Statement snowing s																		
	Category & Name	PAN	Nos. of	No. of	Partly paid-up			Share-holding			Voting Rights		No. of	Total Share-		ber of			Number of equity
	of the Shareholders	(II)	Share-	fully paid up	equity shares	shares	shares held	%	he	eld in ea	ch class of		Shares	holding ,	Loc	ked in	pled	dged or	shares held in
	(1)		holder	equity shares	held	underlying	(VII =	calculated as		sec	urities		Underlying	as a %	sh	ares	oth	erwise	dematerialized
			(III)	held	(V)	Depository	IV + V + VI	per SCRR,		(IX)		Out	assuming	()	KII)	encum	bered (XIII)	form (XIV)
				(IV)		Receipts		1957					standing	full conversion					(Not applicable)
						(VI)		As a % of	No of	Voting	Rights	Total as	convertible	of convertible	No.(a)	As a %	No.(a)	As a %	
								(A+B+C2)	Class	Class	Total	a % of	securities	securities		of total	(Not	of total	
								(VIII)	X	Υ		Total	(including	(as a		Shares	applic	Shares	
												Voting	Warrants)	percentage		held (b)	able)	held	
												rights	(X)	of diluted		, ,	,	(Not	
												-		share				applicable)	
L														capital)				(b)	
	1. Custodian/DR Holder		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0	NA		243207
Г																			
	Employee Benefit Trust																		
	(under SEBI (Share based																		
	Employee Benefit)																		
1	Regulations, 2014)-Subex																		
	Regulations, 2014)-Subex Employee Welfare and																		
	Regulations, 2014)-Subex	AAWTS1371G	1	11200000	0	0	11200000	1.99	11200000	0	11200000	1.99	0	0.00	0	0	NA		11200000
<u> </u>	Regulations, 2014)-Subex Employee Welfare and	AAWTS1371G	1	11200000	0	0	11200000	1.99	11200000	0	11200000	1.99	0	0.00	0	0	NA		11200000
<u>:</u>	Regulations, 2014)-Subex Employee Welfare and ESOP Benefit Trust#	AAWTS1371G	1	11200000	0	0	11200000	1.99	11200000	0	11200000	1.99	0	0.00	0	0	NA		11200000
<u>:</u>	Regulations, 2014)-Subex Employee Welfare and 2. ESOP Benefit Trust# Total Non-Promoter- Non Public Shareholding	AAWTS1371G	1	11200000	0	0	11200000	1.99	11200000	0	11200000	1.99	0	0.00	0	0	NA		11200000
_:	Regulations, 2014)-Subex Employee Welfare and 2. ESOP Benefit Trust# Total Non-Promoter- Non	AAWTS1371G	1	11200000	0	243207	11200000		11200000	0	11200000			0.00	0	0	NA NA		11200000

[#] Held in the Demat account of the Trustees of the Trust.