Holding of specified securities (Statement Showing Shareholding Pattern)

- 1. Name of Listed Entity: SUBEX LIMITED
- 2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending 30-Sep-2019
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

	Table 1- Summary Statement nodding or specified securities																	
Cate	Category of	Nos. of	No. of	No. of	No. of shares	Total nos.	Share-	Nu	mber of '	oting Rights		No. of	Shareholding,	Number	r of	Number of S	Shares	Number of equity
gory	Shareholder	Share-	fully paid up	Partly paid-	underlying	shares held	holding as	ŀ	neld in ea	ch class of		Shares	as a %	Locked	l in	pledged	or	shares held in
(I)	(II)	holders	equity shares	up	Depository	(VII) =	a % of total		seci	ırities		Underlying	assuming	share	S	otherwis	se	dematerialized
		(III)	held	equity	Receipts	(IV)+(V)+(VI)	no.		(1	X)		Outstanding	full conversion	(XII)		encumbered	d (XIII)	form (XIV)
			(IV)	shares	(VI)		of shares					convertible	of convertible					
				held			(calculated			No of Voting	Total as	securities	securities	No.(a)	As a %	No.(a)	As a %	
				(V)			as	Class	Class	Total	a % of	(including	(as a	, ,	of total	` 1	of total	
							per SCRR,	eg: X	eg: Y		(A+B+C)	Warrants)	percentage		Shares		Shares	
							1957)	ŭ			, ,	(X)	of diluted share		held (b)		held	
							(VIII) As a						capital)		. ,		(b)	
							% of						(XI)=(VII)+(X)				` '	
							(A+B+C2)						As a % of					
													(A+B+C2)*					
	DDOMOTED A																	
	PROMOTER &																	
1.	PROMOTER	_	_	_		_			_			_		_	_		_	
Α.	GROUP	0	0	0	0	0	0.00	0	0	0	0.00		0.00	0	·	0	0	0
В.	PUBLIC	103356	543719666	0	0	543719666	96.75	543719666	0	543719666	96.75	0	96.75	0	0.00	0	0	543678017
_	NON PROMOTER-	_		_								_		_		_	_	
C.	NON PUBLIC	2	18040062	0	243207	18283269	3.25	18283269	0	18283269	3.25	0	3.25	0	0.00	0	0	18283269
	SHARES			_								_		_		_		
C1.	UNDERLYING DRS	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0.00	0	0	243207
	SHARES HELD BY																	
	EMPLOYEE																	
C2.	TRUSTS#	1	18040062		0	18040062		18040062	0	18040062		0	0.00	0			0	18040062
	Total	103358	561759728	0	243207	562002935	100	562002935	0	562002935	100	0	100.00	0	0.00	0	0	561961286

^{*}Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders
In accordance with Clause 5 of Chapter II of the SEBI (Share Based Employee Benefits), Regulations, 2014, the Trustees of the Trust will not vote in respect of shares held by the Trust,

	Table II - Statement sho	owing shareho	Iding patte	ern of the P	romoter a	nd Promote	Group												
	Category & Name	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Share-	TableNum	ber of V	otina Riah	ts	No. of	Shareholding,	Num	ber of	Number	of Shares	Number of
	of the Shareholders	(II)	Share-	fully paid	paid-up	shares	shares	holding %			ch class of		Shares	as a %	Lock	ked in	pledg	ed or	equity
	(1)	. ,	holder	up	equity	underlying	held	calculated		secu	rities		Underlying	assuming	sha	ares		rwise	shares held in
	· · ·		(III)	equity	shares	Depository	(VII =	as		(E	X)		Out-	full conversion	()	(II)		ered (XIII)	dematerialized
			()	shares	held	Receipts	IV + V +	per SCRR,		(,		standing	of convertible	(-	,		,	form (XIV)
				held	(V)	(VI)	VI)	1957					convertible	securities					.6 (//)
				(IV)	(•)	(*.,	•.,	As a % of		Voting F		Total as	securities	(as a		As a %	No.(a)	As a %	
				(,				(A+B+C2)	Class	Class	Total	a % of	(including	percentage	No.(a)	of total		of total	
								(VIII)	X	Υ		Total		of diluted share		Shares		Shares	
								(•)				Voting	(X)	capital)		held (b)		held (b)	
												rights	(70)	(XI) = (VII)+(X)					
														as a % of					
														A+B+C2					
														ATDTO2					
1.	INDIAN																		
	Individuals/Hindu undivided																		
a.	Family		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
	Names :																		
	Subash Menon		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
	Sudeesh Yezhuvath		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
	Central Government/																		
b.	State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
	Financial Institutions/																		
C.	Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
									0		0	0.00		0.00					
d.	ANY OTHER								0		0			0.00					
	Bodies Corporate		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
	Names:																		
	Kivar Holdings Private Limited		0				0		0				0		0				
<u> </u>	Sub-Total (A)(1)		0	0	0	0	0	0.00	0				0		0	0	0	0	0
									0		0			0.00					
2.	FOREIGN								0		0	0.00		0.00					
	Individuals (Non-											1							
	Resident Individuals/		_	_	_	_ [_		_		_		_		_		_	_	
a.	Foreign Individuals)		0	_			0		0		0		0		0		0		
b.	Government		0	Ū			0		0				0		0				
C.	Institutions		0			·	0		0				0		0		-		
d.	Foreign Portfolio Investor		0	0	0	0	0	0.00	0				0		0	0	0	0	0
f.	ANY OTHER		-						0		0			0.00					ļ
-	Cub Tatal (A)(0)		_		_			0.00			0			0.00	_	<u> </u>			—
-	Sub-Total (A)(2)		0	0	0	0	0	0.00	0				0		0	0	0	0	0
-	T							-	0		0	0.00		0.00					
	Total Shareholding of																		
	Promoter																		
	and Promoter Group (A)=		0		0	0	0	0.00	_	_	0	0.00	_	0.00	_	0	0	_	ا
-	(A)(1)+(A)(2)			. 0	0	. 0	0	0.00	0	0	0	0.00	0	0.00	0	l U	U	U	. 0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

	Table III -	- Statement showing	sharehol	ding pattern of	the Public	shareholde	r										
	Category & Name	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Share-	Number of	f Voting Rights	No. of	Total Share-	Numb	er of	Number	of Shares	Number of
	of the Shareholders	(II)	Share-	fully paid up	paid-up	shares	shares held	holding %		each class of	Shares	holding .	Locke		pledg		equity
	(I)	(")	holder	equity shares	equity	underlying	(VII =	calculated		curities	Underlying		sha		othe		shares held in
	(1)		(III)	held	shares	Depository	IV + V + VI)	as		(IX)	Out	full conversion	(X			ered (XIII)	dematerialized
			(111)	(IV)	held	Receipts	10 + 0 + 01)	per	No of Voting			of convertible	No.(a)	As a %	No.(a)	As a %	form (XIV)
				(IV)				SCRR,					NO.(a)				IOIIII (AIV)
					(V)	(VI)					convertible			of total	(Not	of total	
								1957	X Y	Total	securities	(as a percentage		Shares	applicable)	Shares	
								As a % of		Voting	(including			held (b)		held	
								(A+B+C2)		rights	Warrants)	capital)				(Not	
								(VIII)			(X)	(XI)				applicable)	
																(b)	
1.	INSTITUTIONS				_	0				0			0				_
a. b.	Mutual Funds/ Venture Capital Funds		0		0 0	0		0.00	0 0	U	0 (0 0	v		NA NA		0
C.			0		0	0			0 0	0	0 (0 0	•) NA		0
C.	Foreign Venture		- 0	1	U	U	(0.00	0 0	U	<u> </u>	, 0	U		, NA	-	U
١.	Capital Investors	1	١ .					0.00	0 0		۔ ا		_		NA NA	1	_
a.			0		0	U		0.00	0 0	U	0 (0	U		NA NA		- 0
	Foreign Portfolio		_			_	_				_		_	_			_
e.	Investors		0		0	0		0.00	0 0	0 0.0	0 (0.00	0) NA		0
_	Names:																
	Financial Institutions/																
f.	Banks		5		0	0	3380081	0.60	3380081 0	3380081 0.6		0.60		0) NA		3380081
g.	Insurance Companies		2	78764	0	0	78764	0.01	78764 0	78764 0.0	1 (0.01	0		NA NA		78764
	Provident Funds/																
h.	Pension Funds		0	(0	0	(0.00	0 0	0	0 (0.00	0	0	NA NA		0
i.	ANY OTHER								0	0		0.00					
	CENTRAL GOVERNMENT/																
	STATE GOVERNMENT(S)		0	(0	0	(0.00	0 0	0	0 (0.00	0	0	NA NA		0
	FOREIGN INSTITUTIONAL																
	INVESTORS		0	0	0	0	(0.00	0 0	0 0.0	0 (0.00	0	0	NA NA		0
	FOREIGN FINANCIAL																
	INSTITUTIONS		0	0	0	0	(0.00	0 0	0 0.0	0 (0.00	0	0	NA NA		0
									0	0		0.00					
	Sub-Total (B)(1)		7	3458845	0	0	3458845	0.62	3458845 0	3458845 0.6	2 (0.62	0	0)		3458845
									0	0		0.00		·			
	Central Government/											1					
	State Government(s)/	1						1 1	[]		1				1	1	
2.	President of India		0	ا ا	0	0	(0.00	0 0	0	0 0	0.00	0		NA NA	l	0
				`			`	0.00	Ö	0	Ť ,	0.00		•	147		
	Sub-Total (B)(2)		0		0	0	(0.00	0 0	0	0 (0.00		o)		0
	1-1/-/		t	1	t	, i	`		0	0	1	0.00					
3.	NON-INSTITUTIONS								0	0		0.00					
a.	INDIVIDUALS -								ŏ	0	1	0.00			1	1	
1		1		1				1 1	1	-	1	0.00			1	1	
	I. Individual shareholders							1 1							1	l	
	holding nominal share capital	1						1 1	[]		1				1	1	
	up to Rs. 2 lakhs.		95598	147885814	0	0	147885814	26.31	147885814 0	147885814 26.3	1 0	26.31	0	0	NA NA	l	147844651

_											,	1			1		1	
	II. Individual shareholders																	
	holding nominal share capital		2350	240327313			240327313	40.70	240327313		040007040	40.70		40.70		_	NA	240327313
\vdash	in excess of Rs. 2 lakhs. Names :		2350	240327313	U	U	240327313	42.76	240327313	U	240327313	42.76	U	42.76	0	U	NA	240327313
-	RAJESH GOENKA	ADQPG1096D		8500000	0	0	8500000	1.51	8500000	0	8500000	1.51	0	0.00	0	0	NA	8500000
\vdash	KRISHNI DEVI GOENKA	ADPPG1236L		6000000	0	0	6000000	1.07	6000000	0		1.07	0	0.00	0	0	NA	6000000
-	SHIVANI TARUN HARIBHAKTI	AGSPD0531H		5900000	0	0	5900000	1.05	5900000	0		1.05	0	0.00	0	0	NA NA	5900000
-	NBFCs registered	AGGFD0331H		3900000		U	3900000	1.03	3900000	U	3900000	1.03		0.00	U		IVA	390000
Ь.			0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
	Overseas Depositories			-														
	(holding DRs)																	
d.	(balancing figure)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
e.	ANY OTHER								0		0			0.00				
	BODIES CORPORATE		841	104530172	0	0	104530172	18.60	104530172	0	104530172	18.60	0	18.60	0	0	NA	104529707
	Names :								0		0			0.00				
	EDELWEISS CUSTODIAL																	
\perp	SERVICES LIMITED	AACCE0677N		10535938	0	0	10535938	1.87	10535938	0	10535938	1.87	0	1.87	0	0	0	10535938
	UNO METALS LTD	AAACU3053E		10450000	0	0	10450000	1.86	10450000	0		1.86	0	1.86	0	0	0	10450000
	AKG FINVEST PRIVATE LTD	AADCA8306P		6972000	0	0	6972000	1.24	6972000	0	6972000	1.24	0	1.24	0	0	0	6972000
	STOCK HOLDING																	
	CORPORATION OF INDIA LTD -																	
	A/C NSE DERIVATIVES	AABCS1429B		6754069	0	0	6754069	1.20	6754069	0	6754069	1.20	0	1.20	0	0	0	6754069
	ALTRUIST TECHNOLOGIES																	
-	PRIVATE LIMITED	AAFCA3725N		6323819	0	0	6323819	1.13	6323819	0	6323819	1.13	0	1.13	0	0	0	6323819
	GANPATI DEALCOM PRIVATE	AACCG9284H		6000000				1.07		0	000000	1.07		1.07	0			6000000
\vdash	JM FINANCIAL SERVICES	AACCG9284H		6000000	U	0	6000000	1.07	6000000	U	6000000	1.07	U	1.07	U	0	U	6000000
	LIMITED	AAACJ5977A		5960000			5960000	1.06	5960000	0	5960000	1.06		1.06	0	0	0	5960000
\vdash	TRUSTS	AAACJS977A	7	356300	0	0	356300	0.06	356300	0		0.06	0	0.06	0	0	NA.	356300
-	DIRECTOR & THEIR RELATIVES		2	79095	0	0	79095	0.00	79095	0		0.00	0	0.01	0	0	NA	79095
-	FOREIGN NATIONALS	'	25	81194	0	0	81194	0.01	81194	0		0.01	0	0.01	0	ő	NA.	81194
					-	-									0	-		
-	NON RESIDENT INDIANS		884	11846210	0	0	11846210	2.11	11846210	0	11846210	2.11	0	2.11	0	0	NA	11846210
	CLEARING MEMBERS		87	573418	0	0	573418	0.10	573418	0	573418	0.10	0	0.10	0	0	NA	573418
	HINDU UNDIVIDED FAMILIES		3477	27442897	0	0	27442897	4.88	27442897	0	27442897	4.88	0	4.88	0	0	NA	27442897
					- 1		_, ,,,				_,,,_,,,							
	OTHERS		0	0	0	0	0	0.00	0	0	0	0.00		0.00	0	0	NA	0
-	OTHERS			U	•	U	U	0.00	U	U	U	0.00	U	0.00	U	•	IVA	
	FOREIGN CORPORATE BODIES		3	6175870	0	0	6175870	1.10	6175870	0	6175870	1.10	0	1.10	0	0	NA	6175870
	Names :																	
	ESOPs/ ESOS/ESPS/																	
	EMPLOYEE SHARE HOLDERS		75	962538	0	0	962538	0.17	962538	0	962538	0.17	0	0.17	0	0	NA	962517
	Sub-Total (B)(3)		103349	540260821	Ö	0	540260821	96.13	540260821	0	540260821	96.13	0	96.13	0	0	74.7	540219172
	, ,,,,								0		0	0.00		0.00				
	Total Public Shareholding																	
	(B)= (B)(1)+(B)(2)+(B)(3)		103356	543719666	0	0	543719666	96.75	543719666	0	543719666	96.75	0	96.75	0	0.00	NA	543678017
											,							
Det	tails of the shareholders acting as	persons in Concert	including their	Shareholding (No	o. and %):													
\perp	Name of the PAC	No. of Shares	% of PAC															
	N.A.																	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

÷	ubic	e iv - Statement snowing si																		
		Category & Name	PAN	Nos. of	No. of	Partly paid-up	No. of	Total nos.	Share-holding	Nur	mber of	Voting Rights		No. of	Total Share-	Nun	nber of	Numbe	r of Shares	Number of equity
		of the Shareholders	(II)	Share-	fully paid up	equity shares	shares	shares held	%	h	eld in ea	ach class of		Shares	holding,	Loc	ked in	ple	dged or	shares held in
		(I)		holder	equity shares	held	underlying	(VII =	calculated as		sec	urities		Underlying	as a %	sh	ares	otherwise		dematerialized
				(III)	held	(V)	Depository	IV + V + VI)	per SCRR,		((IX)		Out	assuming	(XII)	encumbered (XIII)		form (XIV)
				` '	(IV)	` '	Receipts	,	1957		,	,		standing	full conversion	,	,			(Not applicable)
					(/		(VI)		As a % of	No of	f Voting	Rights	Total as		of convertible	No.(a)	As a %	No.(a)	As a %	(,,
							(*1)		(A+B+C2)		Class	Total	a % of	securities	securities	140.(a)	of total	(Not	of total	ļ ļ
											Class	TOtal						,		,
									(VIII)	X	Y		Total	(including	(as a		Shares	applic	Shares	,
													Voting	Warrants)	percentage		held (b)	able)	held	,
													rights	(X)	of diluted				(Not	,
															share				applicable)	,
															capital)				(b)	
	1. (Custodian/DR Holder		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0	NA		243207
		Employee Benefit Trust																		
	(under SEBI (Share based																		
	Ē	Employee Benefit)																		
		Regulations, 2014)-Subex																		
		Employee Welfare and																		,
Ι.		ESOP Benefit Trust	AAWTS1371G		18040062	. ا		18040062	3.21	18040062		18040062	3.21		0.00		۱ .	NA		18040062
H			AAW 1513/1G	1	18040062	U	U	18040062	3.21	18040062	U	18040062	3.21	U	0.00	U	U	NA.		18040062
		Total Non-Promoter- Non				1											ĺ		1	
		Public Shareholding																	1	
	((C)=(C)(1)+(C)(2)				1											ĺ		1	
				2	0	ه ا	243207	18283269	3.25	18283269	0	18283269	3.25	0	0.04	0	0	NA		18283269

		Table V-	Statement sh	owing details	of significant be	neficial owne	ers (SBOs)	
Sr. No	D	etails of the SBO (I)		Detail	s of the registered o	owner	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: (III)	Date of creation / acquisition of significant beneficial interest# (IV)
	NAME	PAN / Passport No. in case of a foreign national	Nationality	NAME	PAN / Passport No. in case of a foreign national	Nationality	Whether by virtue of:	
1	Nil	NA	NA	Nil	NA	NA	Shares % Voting rights % Rights on distributable dividend or any Exercise of control Exercise of significant	
2	Niil	NA	NA	Nil	NA	NA	Shares % Voting rights % Rights on distributable dividend or any Exercise of control Exercise of significant	
3	Nil	NA	NA	Nil	NA	NA	Shares % Voting rights % Rights on % distributable dividend or any Exercise of control Exercise of significant	

The Company has not received any declarations pertaining to Significant Beneficial Ownwership (SBO).