Holding of specified securities (Statement Showing Shareholding Pattern)

- 1. Name of Listed Entity: SUBEX LIMITED
- 2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending 31-Dec-2019
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Table 1 - Outmined y Outer the Countries																		
Cate	Category of	Nos. of	No. of	No. of	No. of	Total nos.	Share-			oting Rights		No. of	Shareholding,	Number		Number of		Number of equity
gory	Shareholder	Share-	fully paid up	Partly paid-		shares held	holding as			ch class of		Shares	as a %	Locked in		pledged or		shares held in
(I)	(II)	holders	equity shares	up	underlying	(VII) =	a % of total					Underlying	assuming	shares		otherwise		dematerialized
		(III)	held	equity	Depository	(IV)+(V)+(VI)	no.		(IX)			Outstanding	full conversion	(XII)		encumbered (XIII)		form (XIV)
			(IV)	shares	Receipts		of shares					convertible	of convertible					
				held	(VI)		(calculated			No of Voting	Total as	securities	securities	No.(a)	As a %	No.(a)	As a %	
				(V)			as	Class	Class	Total	a % of	(including	(as a		of total		of total	
							per SCRR,	eg: X	eg: Y		(A+B+C)	Warrants)	percentage		Shares		Share	
							1957)					(X)	of diluted share		held (b)		s	
							(VIII) As a						capital)				held	
							% of						(XI)=(VII)+(X)				(b)	
							(A+B+C2)						As a % of					
													(A+B+C2)*					
	PROMOTER &																	
	PROMOTER																	
Δ	GROUP	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	، ا	0	0	0
В.	PUBLIC	100987	539359728	0	0	539359728		539359728	0	539359728		0	95.97		0.00	_		539318079
F	NON PROMOTER-		000000.20	,	, i	000000.20	00.01	000000.20		000000:20		,	00.01		0.00		Ť	0000.00.0
c.	NON PUBLIC	2	22400000	0	243207	22643207	4.03	22643207	0	22643207	4.03	0	4.03	0	0.00	0	0	22643207
	SHARES																	
C1.	UNDERLYING DRS	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0.00	0	0	243207
	SHARES HELD BY																	
	EMPLOYEE																	
C2.	TRUSTS#	1	22400000	0	0	22400000	3.99	22400000	0	22400000	3.99	0	0.00	0	0.00	0	0	22400000
	Total	100989	561759728	0	243207	562002935		562002935	0	562002935			100.00	0			0	561961286

Notes Face Value per share is Rs. 10/
*Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

In accordance with Clause 5 of Chapter II of the SEBI (Share Based Employee Benefits), Regulations, 2014, the Trustees of the Trust will not vote in respect of shares held by the Trust

	Table II - Statement sho	wing sharehol	Iding patte	ern of the P	romoter a	nd Promoter	Group												
	Category & Name	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Share-	TableNum	ber of V	otina Riah	ts	No. of	Shareholding,	Num	ber of	Number	of Shares	Number of
	of the Shareholders	(II)	Share-	fully paid	paid-up	shares	shares	holding %			ch class of		Shares	as a %		ced in	pledg		equity
	(1)	()	holder	up	equity	underlying	held	calculated		secu			Underlying	assuming		ares	other		shares held in
	(-)		(III)	equity	shares	Depository	(VII =	as		(D			Out-	full conversion		(II)		ered (XIII)	dematerialized
			()	shares	held	Receipts	IV + V +	per SCRR,		(1)	ν)		standing	of convertible	()	(II)	Onodino	7 OG (7 (111)	form (XIV)
				held	(V)	(VI)	VI)	1957					convertible	securities					101111 (7417)
				(IV)	(*)	(*1)	٧.,	As a % of	No of	Voting F		Total as	securities	(as a		As a %	No.(a)	As a %	
				(.,,				(A+B+C2)	Class	Class	Total	a % of	(including	percentage	No.(a)	of total		of total	
								(VIII)	X	Υ		Total		of diluted share		Shares		Shares	
								(V III)				Voting	(X)	capital)		held (b)		held (b)	
												rights	(^)	(XI) = (VII)+(X)					
														as a % of					
														A+B+C2					
														A+b+C2					
1.	INDIAN																		
	Individuals/Hindu undivided																		
a.	Family		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
	Names :																		
	Subash Menon		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
	Sudeesh Yezhuvath		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
	Central Government/																		
b.	State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
	Financial Institutions/																		
c.	Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
									0		0	0.00		0.00					
	ANY OTHER								0		0			0.00					
	Bodies Corporate		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
	Names:																		
	Kivar Holdings Private Limited		0						0				0		0			0	
	Sub-Total (A)(1)		0	0	0	0	0	0.00	0				0		0	0	0	0	0
									0		0	0.00		0.00					
	FOREIGN								0		0	0.00		0.00					
	Individuals (Non-																		
	Resident Individuals/																		
	Foreign Individuals)		0				0		0		0	0.00	0		0		0	0	0
	Government		0	Ū		-			0				0		0			0	
	Institutions		0		·	•			0				0		0		-	0	
	Foreign Portfolio Investor		0	0	0	0	0	0.00	0				0		0	0	0	0	0
f.	ANY OTHER								0		0			0.00					
									0		0	0.00		0.00					
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0				0		0	0	0	0	0
									0		0	0.00		0.00					
	Total Shareholding of																		
	Promoter																		
	and Promoter Group (A)=																		
1 1	(A)(1)+(A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

	Table III	- Statement showing	sharehold	ding pattern of t	he Public	c shareholde	er												
	Category & Name	PAN	Nos. of	No. of	Partly	No. of	Total nos.	Share-	Nu	ımber o	f Voting Rights		No. of	Total Share-	Numb	er of	Number of	of Shares	Number of
	of the Shareholders	(II)	Share-	fully paid up	paid-up	shares	shares held	holdina %			each class of		Shares	holding .	Locke	ed in	pleda	ed or	equity
	(1)	. ,	holder	equity shares	equity	underlying	(VII =	calculated		se	curities		Underlying	as a % assuming	sha	res	otherwise		shares held in dematerialized
	(4)		(III)	held	shares	Depository	IV + V + VI)	as			(IX)		Out	full conversion	(X		encumbered (XIII)		
			(111)	(IV)	held	Receipts	10 + 0 + 01)	per	No o	f Voting		Total as	standing	of convertible	No.(a)	As a %	No.(a)	As a %	form (XIV)
				(,	(V)	(VI)		SCRR,	Class	Class	Total	a % of	convertible	securities	110.(u)	of total	(Not	of total	101111 (7417)
					(•)	(*1)		1957	X	Y	i Otai	Total	securities	(as a percentage		Shares	applicable)	Shares	
								As a % of	^	'		Voting	(including	of diluted share			applicable)	held	
								(A+B+C2)					Warrants)			held (b)			
												rights		capital)				(Not	
								(VIII)					(X)	(XI)				applicable)	
																		(b)	
1	INSTITUTIONS																		
а.	Mutual Funds/		0	0		0		0.00		0	0	0	0	0	0	0	NA		0
b.			0			0				_		0	0		0	0			ő
C.	Alternate Investment Funds		0	0		0					0	0	0		0	0			0
	Foreign Venture			-		1	-		-		-								
d.	Capital Investors		0	0		0		0.00	c	0	0	0	0	0	0	0	NA		0
٠.	Foreign Portfolio				•		`	0.00	`	Ť	•	·			ŭ		147		•
e.	Investors		0	0		0		0.00	c	0	0	0.00	0	0.00	0	0	NA		0
	Names:		_	-		1	-		•		-		-			-			-
	Financial Institutions/																		
f.	Banks		5	4793765			4793765	0.85	4793765		4793765	0.85	0	0.85	0	0	NA		4793765
g.	Insurance Companies		2			0			78764				0		0	0			78764
9.	Provident Funds/		_	10104	•		1010	0.01	7070	_	10104	0.01		0.01	Ů		147		70704
h.	Pension Funds		0	0				0.00		0	0	0	0	0.00	0	0	NA		0
i.	ANY OTHER		_	-						1	0	-		0.00	_				
-	CENTRAL GOVERNMENT/									1	•			0.00					
	STATE GOVERNMENT(S)		0	0				0.00			0			0.00		0	NA		0
-	FOREIGN INSTITUTIONAL							0.00		_	•			0.00	·		110		
	INVESTORS		0	0				0.00		0	0	0.00	0	0.00	0	0	NA		0
	FOREIGN FINANCIAL				_			0.00		_	·	0.00		0.00			1473		
	INSTITUTIONS		0	0				0.00		0	0	0.00	0	0.00	0	0	NA		0
			_	-						1	0			0.00	_				
-	Sub-Total (B)(1)		7	4872529		0	4872529	0.87	4872529	0	4872529	0.87	0		0	0			4872529
	oub rotal (B)(1)			4072020	•		40, 2020	0.01	40,2020	,	0	0.07		0.00	Ů				4012020
	Central Government/					†		1 1	•		•			0.00					
	State Government(s)/									1		1							
2.	President of India		0	0			0	0.00	c	0	0	0	0	0.00	0	0	NA		0
Ė						1		0.00	Č	1	0		•	0.00	Ů				T
	Sub-Total (B)(2)		0	0		0	0	0.00		0	0	0	0	0.00	0	0			0
			The state of the s	_		†	-	-)	0			0.00					
3.	NON-INSTITUTIONS)	0			0.00					
a.	INDIVIDUALS -								Ċ)	0			0.00					
										1		1							
	I. Individual shareholders							1		1		1							
	holding nominal share capital									1		1							
			02404	143139697			143139697	25.47	442420007	. 0	142120007	25.47	0	25.47	0	0	NA		143098534
\vdash	up to Rs. 2 lakhs.		93401	143139697	"	1 0	143139697	25.47	143139697	- 0	143139697	25.47	U	25.47	U	U	NA		143096534
1	II Individual abasebalds									1		1							
	II. Individual shareholders							1		1		1							
	holding nominal share capital		2330	246622220	١.		246622220	43.88	246622220		246622220	43.88	_	43.88	_	_	NA		246622220
\vdash	in excess of Rs. 2 lakhs. Names :	-	2330	246622220	0	0	246622220	43.88	246622220	0	246622220	43.88	0	43.88	0	0	NA.		246622220
\vdash	Names : RAJESH GOENKA	ADQPG1096D		9000000		0	9000000	1.60	9000000		9000000	4.00	0	0.00	0	0	NA		0000000
\vdash	KRISHNI DEVI GOENKA	ADQPG1096D ADPPG1236L		6000000					600000			1.60							9000000
1	INNIGHNI DEVI GUENNA	AUPPG 1230L	1	0000000	1 0	, 0	0000000												

NBFCs registered																		
b. with RBI		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA		0
c. Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA		
Overseas Depositories																		
(holding DRs)																		
d. (balancing figure)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA		0
e. ANY OTHER						•		0		0	-	-	0.00		-			
o. Attronies													0.00					
BODIES CORPORATE		789	97522360	0	0	97522360	17.35	97522360	0	97522360	17.35	0	17.35	0	0	NA		97521895
Names :		703	37322300		, ,	31322300	17.55	01322300	-	37322300	17.00	-	0.00	·	•	1975		37321033
EDELWEISS CUSTODIAL		-								-			0.00					
SERVICES LIMITED	AACCE0677N		11398620	0	١	11398620	2.03	11398620	0	11398620	2.03	0	2.03	0				11398620
UNO METALS LTD	AAACU3053E	-	10450000	0	0	10450000	1.86	10450000	0	10450000	1.86	0	1.86	0	0	0		10450000
ALTRUIST TECHNOLOGIES	AAACU3U33E		10430000		U	10430000	1.00	10430000	U	10430000	1.00	· ·	1.00	U	· ·	U		10430000
PRIVATE LIMITED	AAFCA3725N		9269733			9269733	1.65	9269733	0	9269733	1.65	0	1.65				1	9269733
AKG FINVEST PRIVATE LTD	AADCA8306P	-	6972000	0	0	6972000	1.24	6972000	0	6972000	1.24	0	1.24	0	0	0		6972000
STOCK HOLDING	AADCAGSUGP		0972000	U	U	09/2000	1.24	0972000	U	09/2000	1.24	U	1.24	U	U	U	1	09/2000
CORPORATION OF INDIA LTD -	4 4 D 0 0 4 4 0 0 D		6558614	0		0550044		0550044	0	0550044					0			0550044
A/C NSE DERIVATIVES GANPATI DEALCOM PRIVATE	AABCS1429B		6558614	U	U	6558614	1.17	6558614	U	6558614	1.17	U	1.17	U	U	U		6558614
	* * * * * * * * * * * * * * * * * * * *		6000000	0	0		1.07	6000000	0	6000000	4.07		4.07		_			6000000
LTD	AACCG9284H				0	6000000			·		1.07	0	1.07	0	0			355800
TRUSTS		6	355800	0	0	355800	0.06	355800	0		0.06	0	0.06	0	0	NA.		
DIRECTOR & THEIR RELATIVES FOREIGN NATIONALS		2 25	79095 81194	0	0	79095 81194	0.01 0.01	79095 81194	0		0.01	0	0.01 0.01	0	0	NA NA		79095 81194
				U	U				U			U		U	U			
NON RESIDENT INDIANS		849	11560015	0	0	11560015	2.06	11560015	0	11560015	2.06	0	2.06	0	0	NA		11560015
CLEARING MEMBERS		95	701128		0	701128	0.12	701128	0	701128	0.12		0.12			NA		701128
CELAKINO INCINDENO		33	701120			701120	0.12	701120	-	701120	0.12		0.12	•	•	11/4		701120
HINDU UNDIVIDED FAMILIES		3409	27226630	0	0	27226630	4.84	27226630	0	27226630	4.84	0	4.84	0	0	NA		27226630
OTHERS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA		0
FOREIGN CORPORATE BODIES		3	6199912			6199912	1.10	6199912		6199912	1.10		1.10			NA		6199912
FOREIGN CORFORATE BODIES		- 3	0133312		U	0133312	1.10	0133312	·	0199912	1.10	U	1.10	U		NA.		0199912
Names :																		
ESOPs/ ESOS/ESPS/																-		
EMPLOYEE SHARE HOLDERS		71	999148	0	0	999148	0.18	999148	0	999148	0.18	0	0.18	0	0	NA		999127
Sub-Total (B)(3)		100980	534487199	0	0	534487199	95.10	534487199	0	534487199	95.10	0	95.10	0	0			534445550
								0		0	0.00		0.00					
Total Public Shareholding																		-
(B)=(B)(1)+(B)(2)+(B)(3)		100987	539359728	0	0	539359728	95.97	539359728	0	539359728	95.97	0	95.97	0	0.00	NA		539318079
	•		_									,		- 1				
Details of the shareholders acting as	persons in Concert	including their	Shareholding (N	o. and %)	:													-
				,														
Name of the PAC	No. of Shares	% of PAC																
N.A.																		
								I										

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/funclaimed suspense account, voting rights which are frozen etc.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public sharehold

	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																			
Г		Category & Name	PAN	Nos. of	No. of	Partly paid-up	No. of	Total nos.	Share-holding	Nur	mber of	Voting Rights		No. of	Total Share-	Nun	nber of	Number	r of Shares	Number of equity
		of the Shareholders	(II)	Share-	fully paid up	equity shares	shares	shares held	%	h	eld in ea	ch class of		Shares	holding,	Locked in		pledged or		shares held in
		(I)		holder	equity shares	held	underlying	(VII =	calculated as		sec	urities		Underlying	as a %	shares		otherwise		dematerialized
				(III)	held	(V)	Depository	IV + V + VI	per SCRR,		(IX)		Out	assuming	(XII)	encum	bered (XIII)	form (XIV)
					(IV)		Receipts		1957					standing	full conversion					(Not applicable)
							(VI)		As a % of	No of	Voting	Rights	Total as	convertible	of convertible	No.(a)	As a %	No.(a)	As a %	
									(A+B+C2)	Class	Class	Total	a % of	securities	securities		of total	(Not	of total	
									(VIII)	X	Υ		Total	(including	(as a		Shares	applic	Shares	
													Voting	Warrants)	percentage		held (b)	able)	held	
													rights	(X)	of diluted				(Not	
															share				applicable)	
L															capital)				(b)	
	. Cus	stodian/DR Holder		1	C	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0	NA		243207
		ployee Benefit Trust																		
	(unc	der SEBI (Share based																		
	Emp	ployee Benefit)																		
	Reg	ulations, 2014)-Subex																		
	Emp	ployee Welfare and																		
2	. ESO	OP Benefit Trust	AAWTS1371G	1	22400000	0	0	22400000	3.99	22400000	0	22400000	3.99	0	0.00	0	0	NA		22400000
	Tota	al Non-Promoter- Non																		
1	Pub	olic Shareholding																		
1		=(C)(1)+(C)(2)																		
1	1, ,			_	_		243207	22643207	4.03	22643207	_	22643207	4.03	_	0.04	_		NA.		22643207

		Tak	ole V- Statement	showing details	of significant benef	ficial owners (S	BOs)	
Sr. No	С	Details of the SBO (I)		Detai	Is of the registered ov (II)	wner	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: (III)	Date of creation / acquisition of significant beneficial interest# (IV)
	NAME	PAN / Passport No. in case of a foreign national	Nationality	NAME	PAN / Passport No. in case of a foreign national	Nationality	Whether by virtue of:	
1	Nil	NA	NA	Nil	NA	NA	Shares 9 Voting rights 9 Rights on 9 distributable dividend or any Exercise of control Exercise of significant	6
2		NA	NA	Nil	NA	NA	Shares 9 Voting rights 9 Rights on 9 distributable dividend or any Exercise of control Exercise of significant	
3	Nil	NA	NA	Nil	NA	NA		

The Company has not received any declarations pertaining to Significant Beneficial Ownwership (SBO).